

# Computer Graphics and Programming

## Lecture 11

# Ray Tracing

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# Basic Concept of Ray Tracing

Light, Color, and Magic with Math.

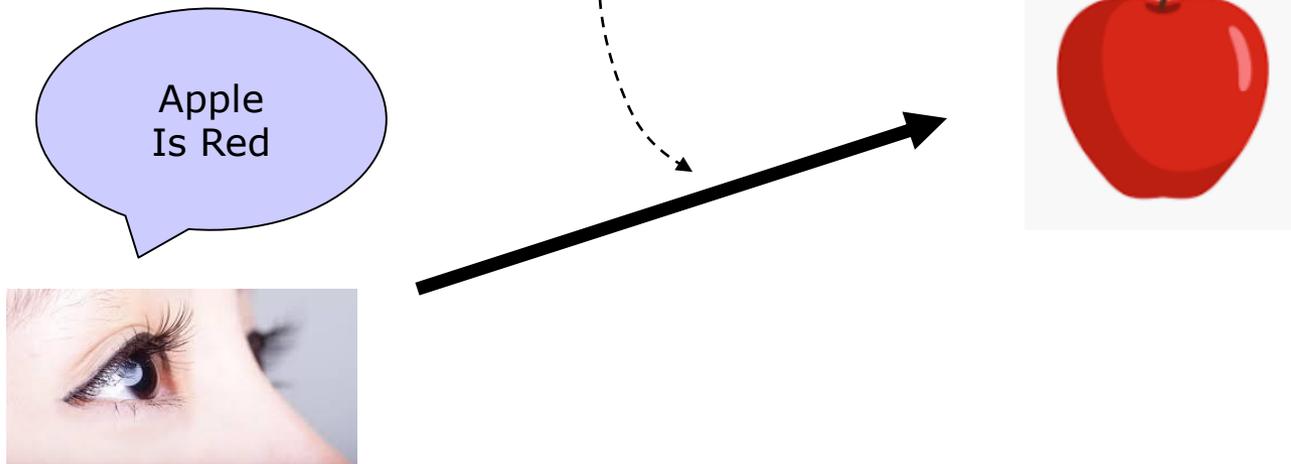
# What is a Ray Tracing?

- **Calculate Color as we see in a Physical world.**
- Everything in a Ray tracing is Math and Math.
- 3D Geometries such as sphere, cylinder, plane and line are Perfectly Calculated.
- Ray tracing is Entirely 3D Euclidean Mathematics.
- Thus, it shows very **Realistic** scene.



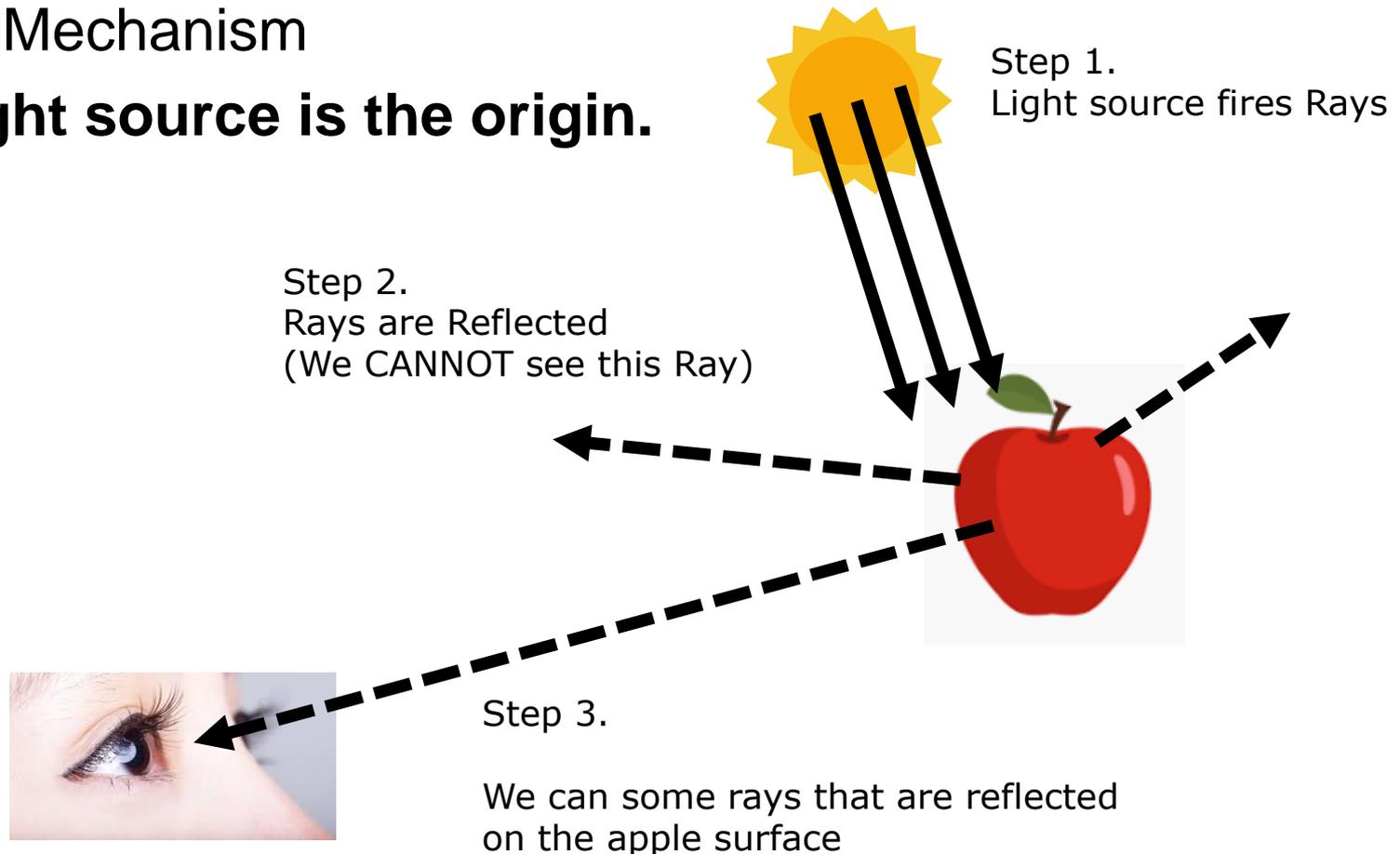
# How to Calculate Colors?

- What we see in everyday is What?
  - We can see an Apple. It is red.
- In a Physical world, the Arrow direction is True?

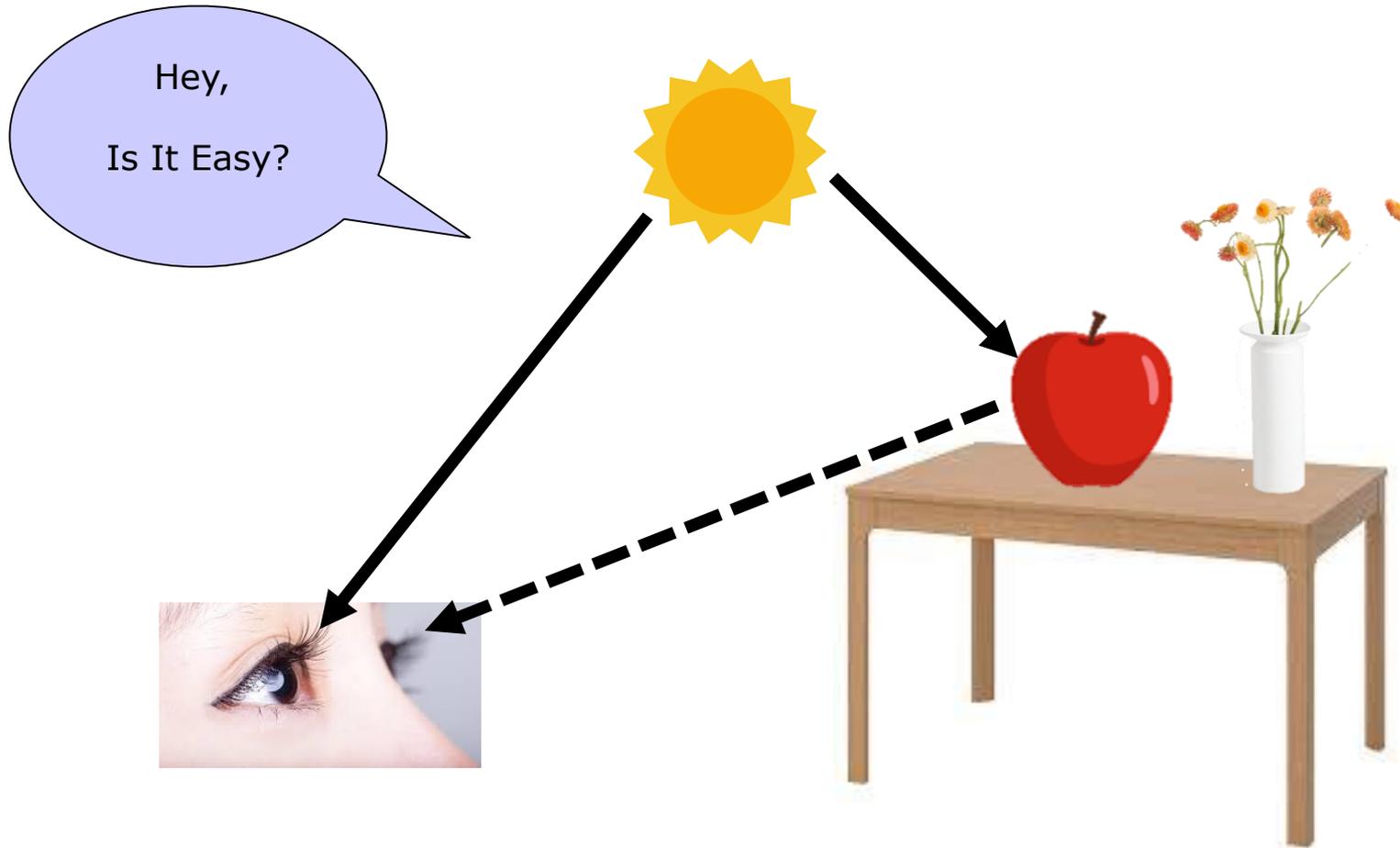


# What We Intend to See is Not the Truth. The Light is coming on Our Eyes

- Seeing Mechanism
- **The Light source is the origin.**



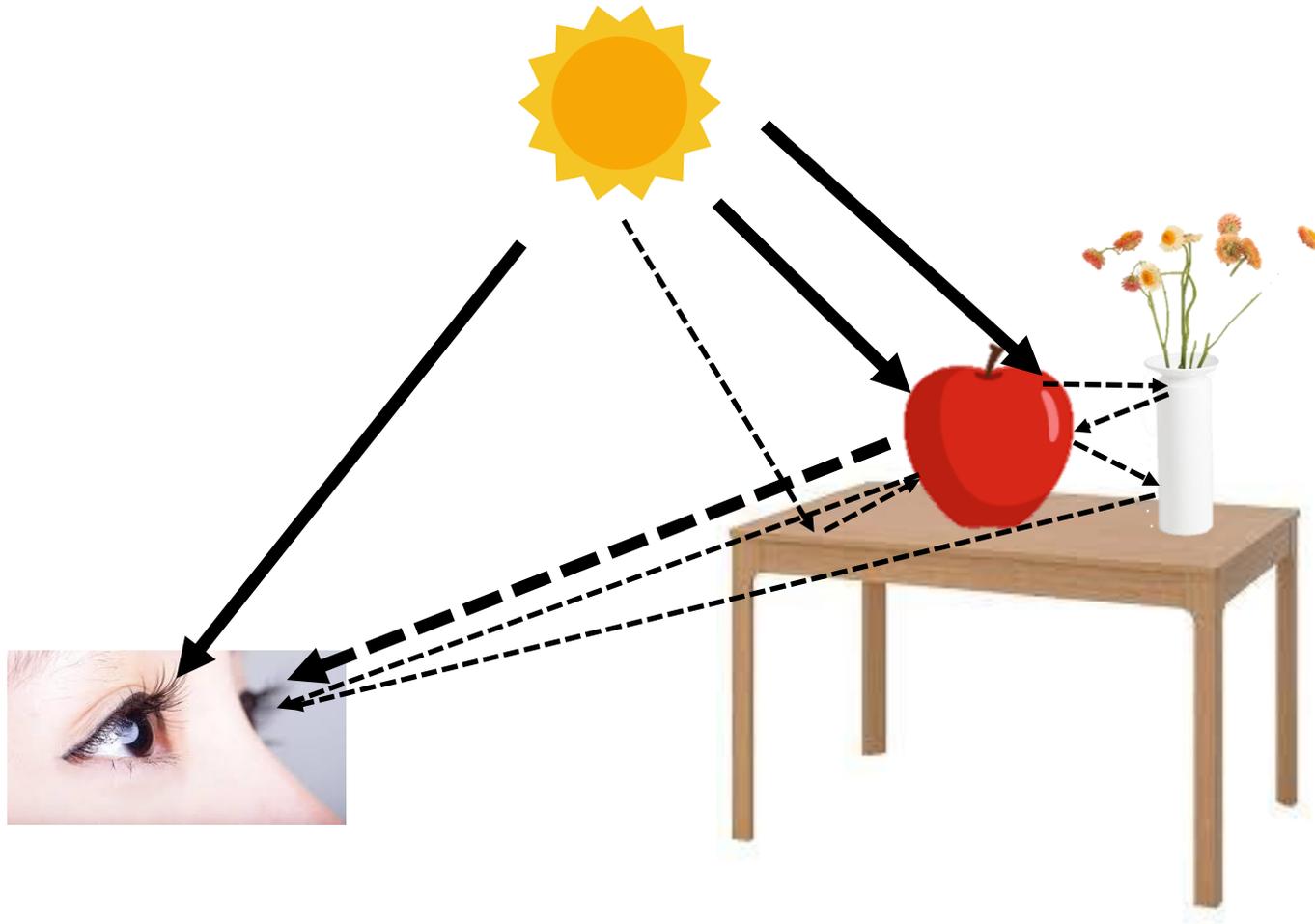
# What We See is a Set of Reflected Rays from Light Sources



**Our World is more complex than you think**

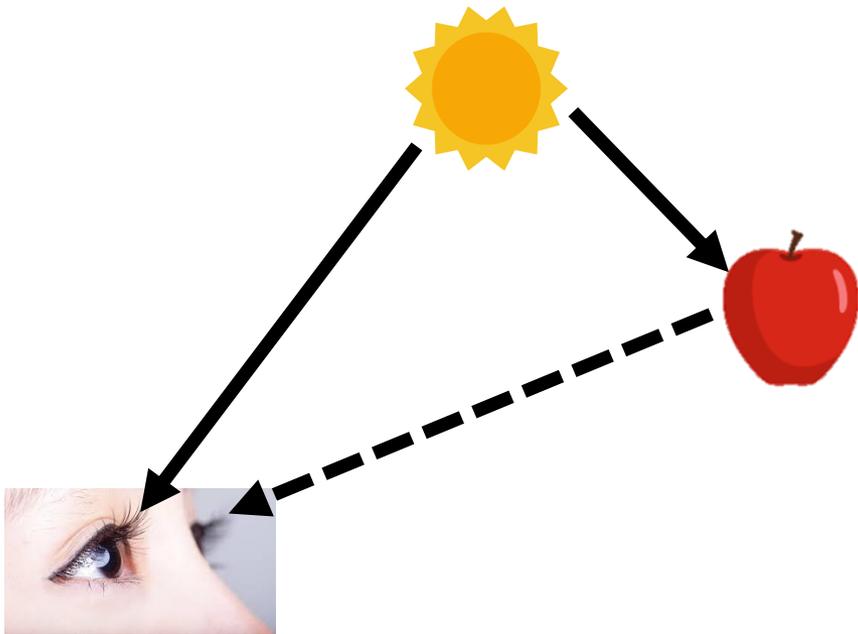


# Everything Reflects Rays. (Without a Black hole)



# What You See is Reflected Rays from Light Source

- Can you believe it? Think the Sun Disappears

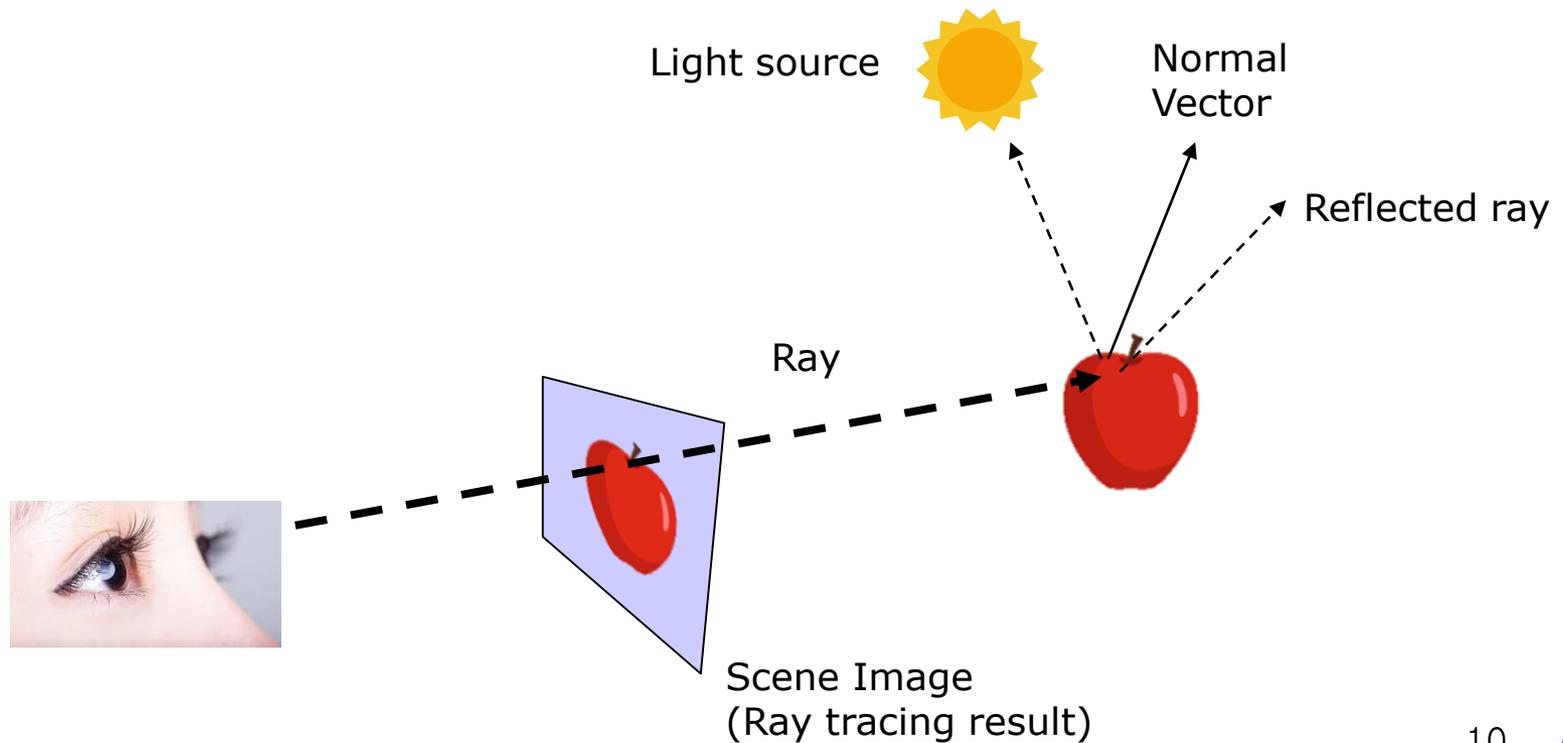


2

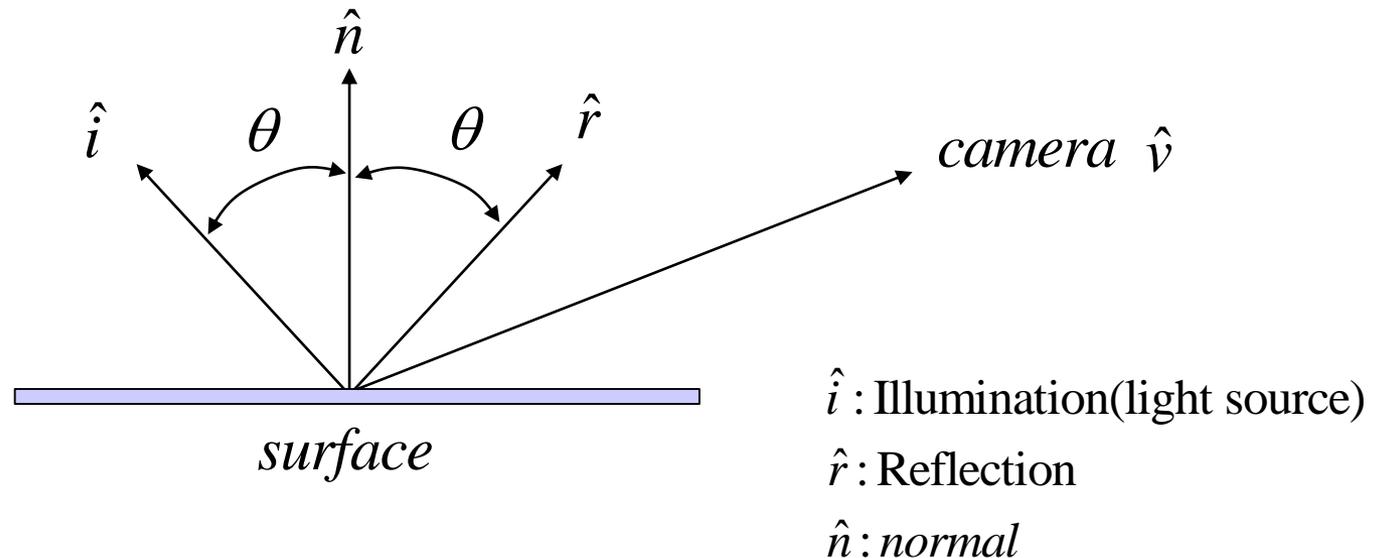
## Ray Tracing in the Reversed Way

# Ray + Tracing

- What is a Tracing?
  - Tracing follows where the color comes from?



# Lambertian Reflection Model



- Lambertian model defines Diffuse color
  - by Only Normal vector

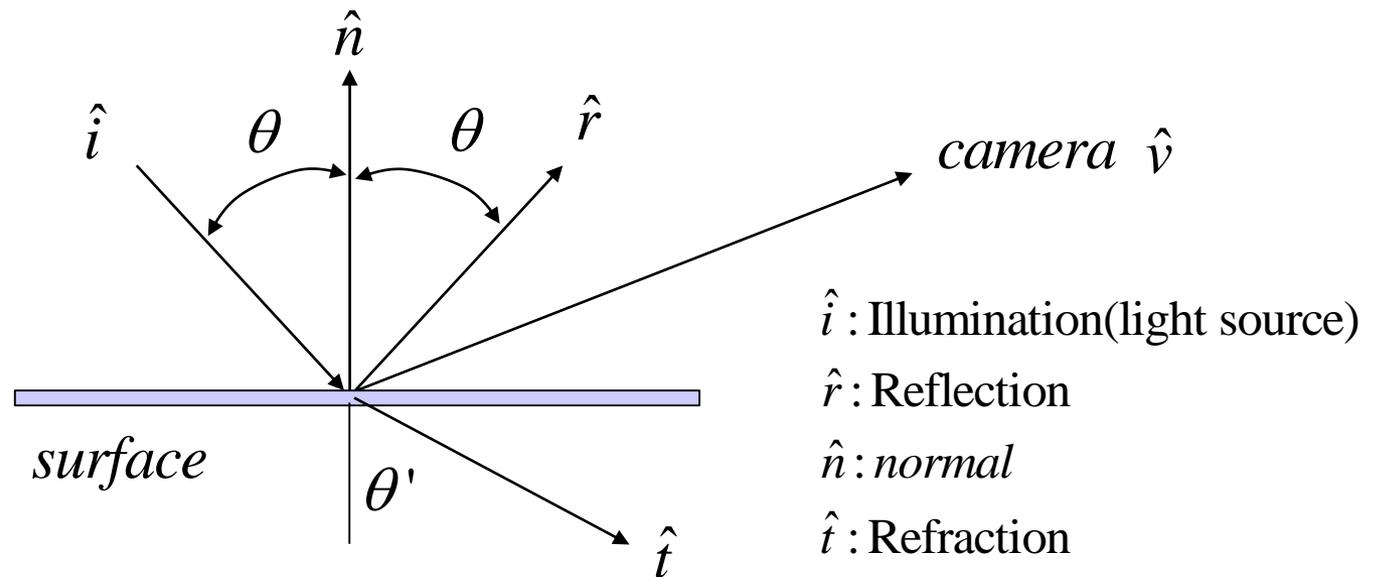
$$\cos \theta = \hat{i} \circ \hat{n}$$

- OpenGL rendering calculates cosine for diffuse color<sup>1</sup>



# Illumination Model with Reflection and Refraction

Lambertian  
model  
(lecture8 pp.53)  
+  
Refraction

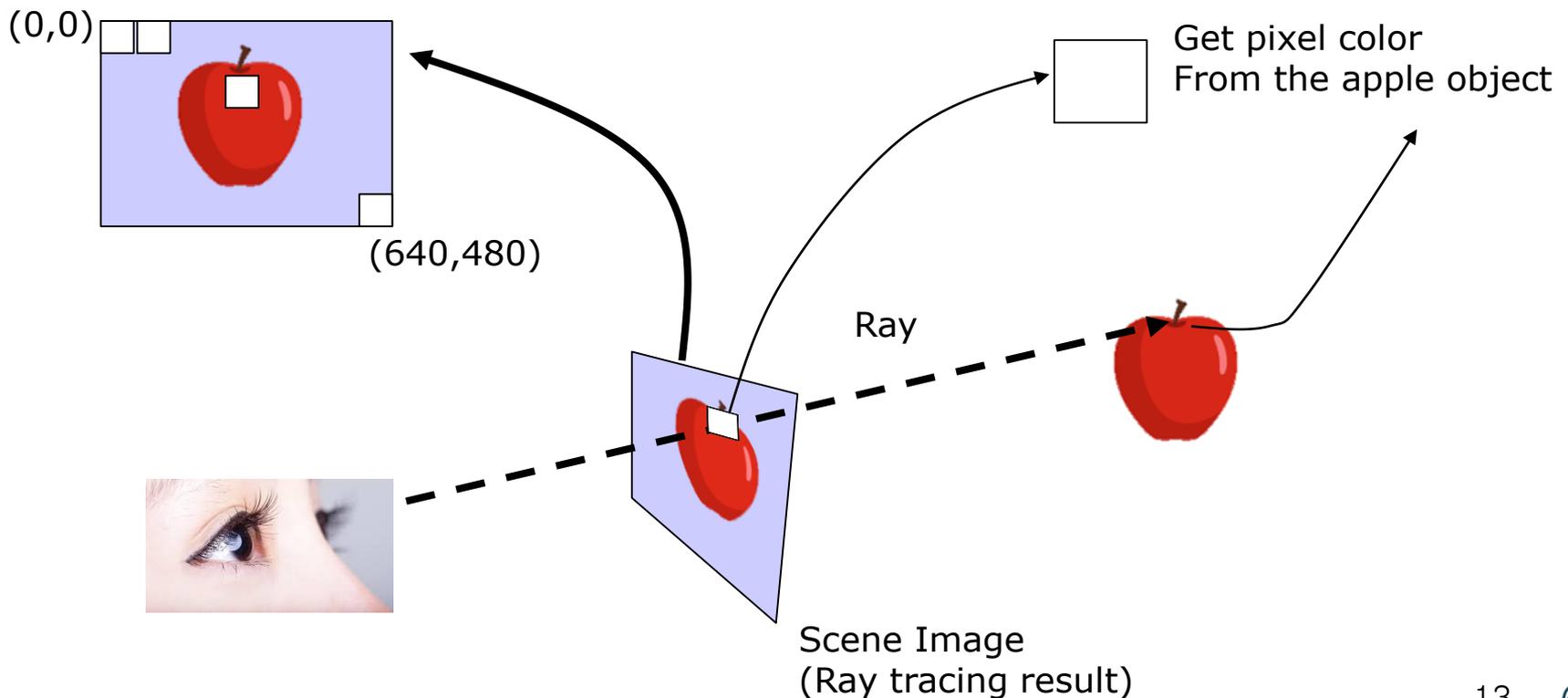


- Illumination model in Ray tracing
  - Reflection and Refraction

# Ray Tracing finds Colors

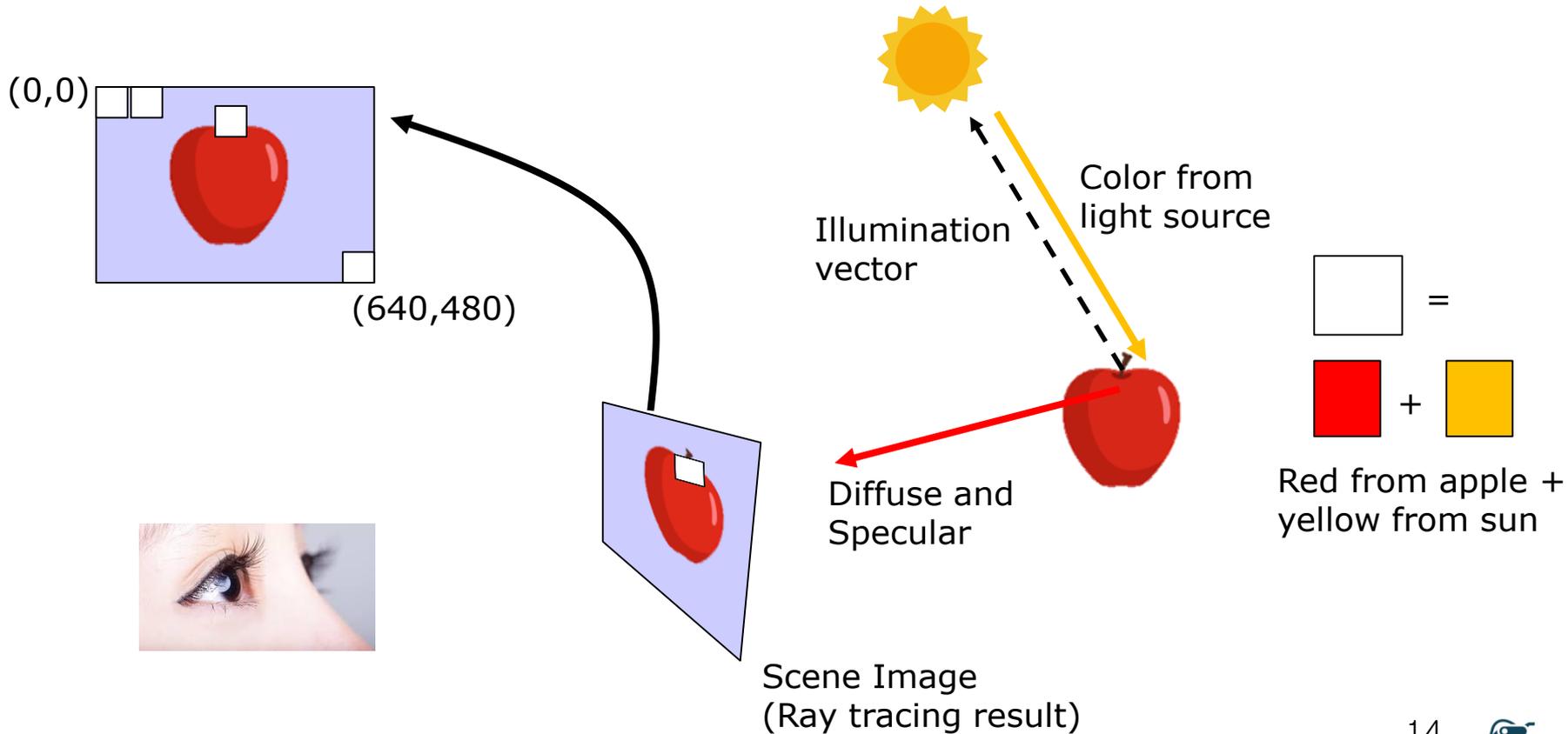
## Step 1. Eye fires Ray

- Scene image = width x height
- Eye fires rays for each pixel



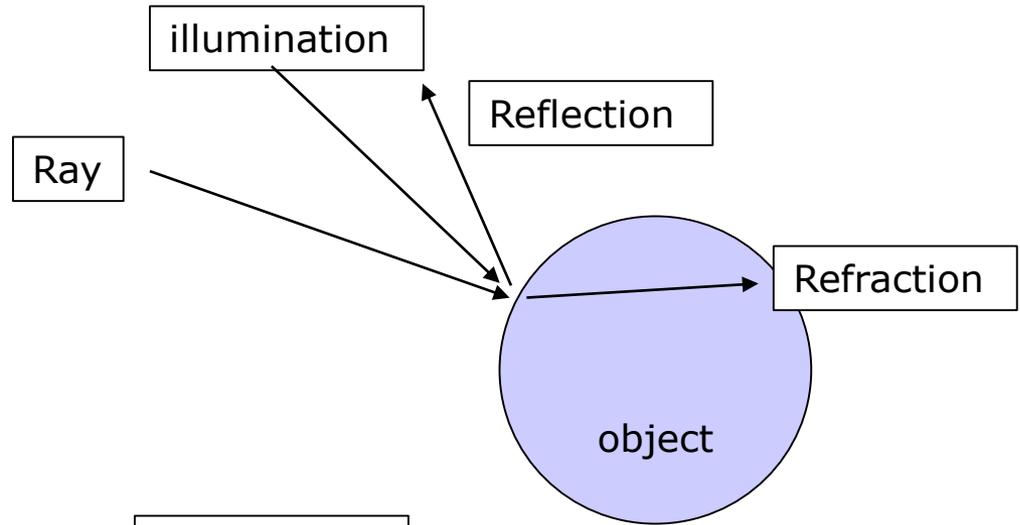
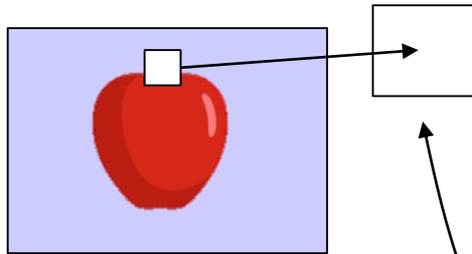
# Ray Tracing finds Colors

## Step 2. Calculate **Reflection** and Refraction

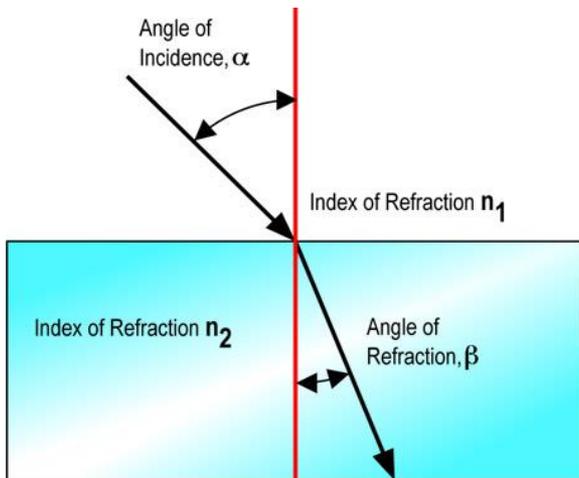


# Ray Tracing finds Colors

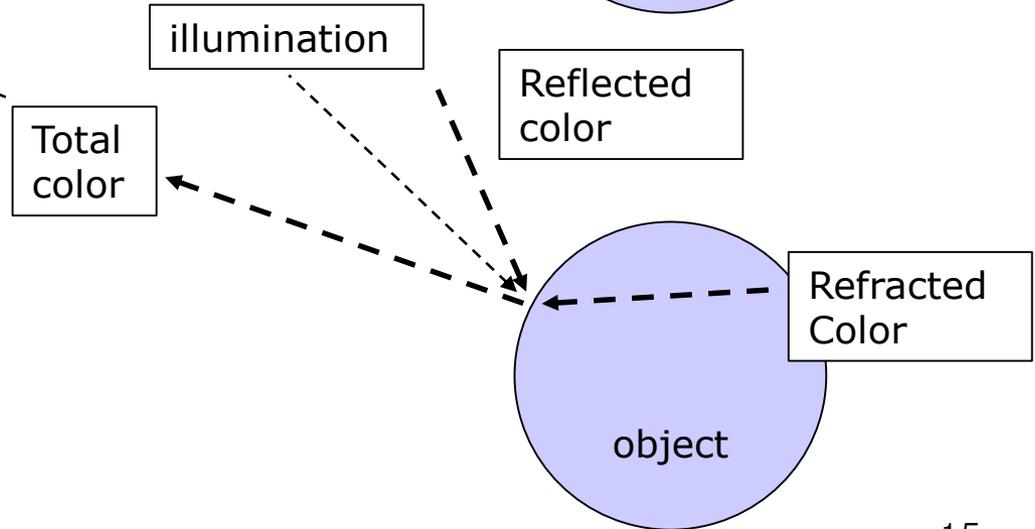
## Step 3. Calculate Reflection and **Refraction**



- Refraction

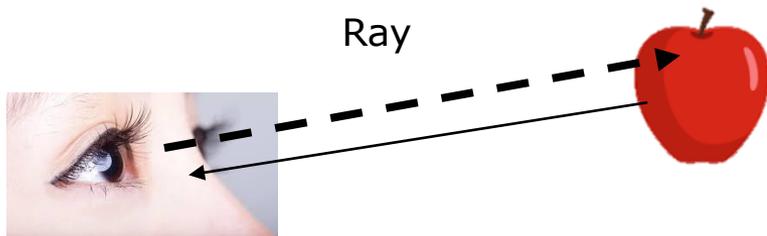


$$n_1 \sin \alpha = n_2 \sin \beta$$

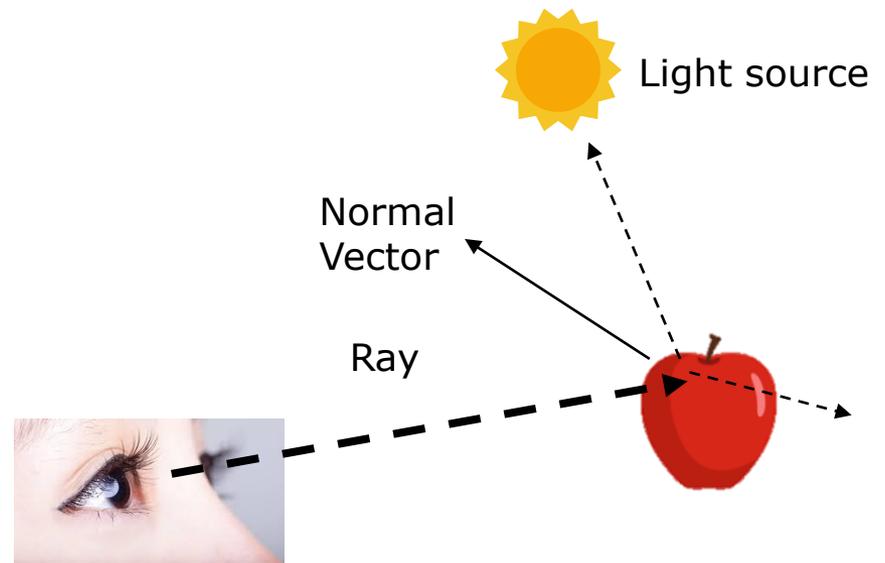


# Ray Casting Vs. Ray Tracing

- Ray Casting has NO Reflection and Refraction
- Ray Tracing does with Reflection and Refraction

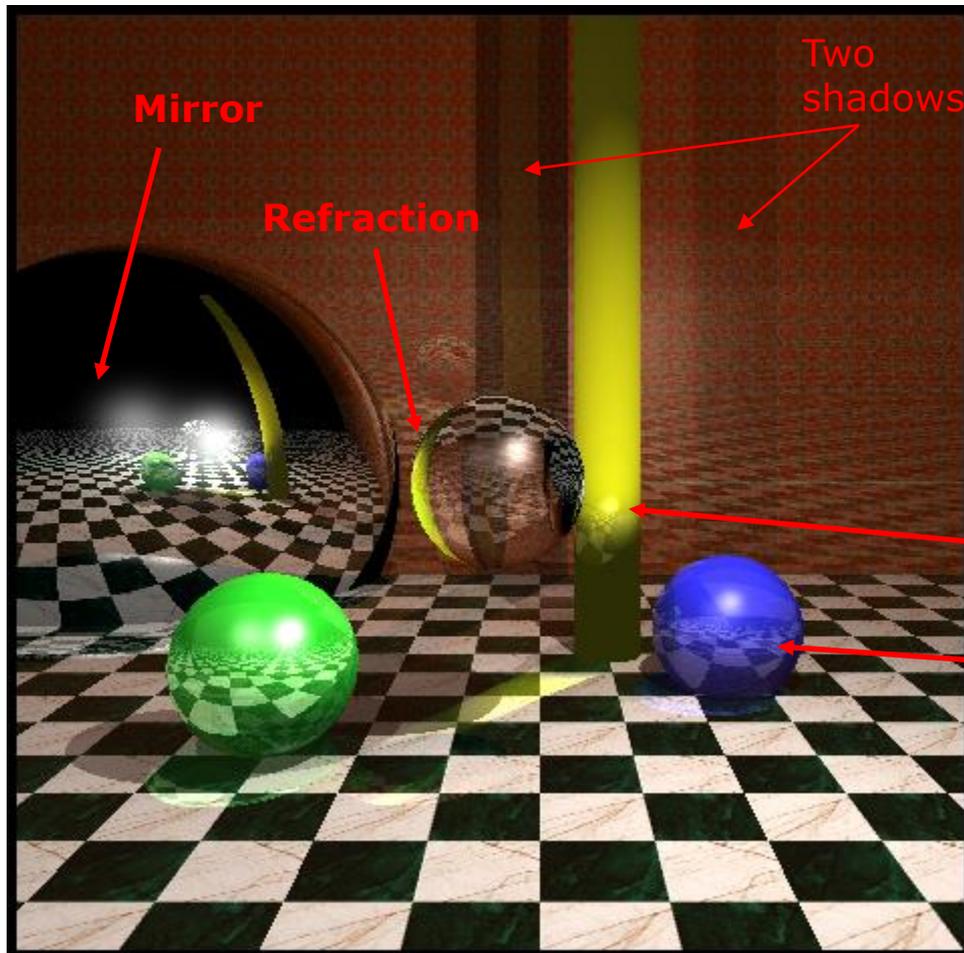


Ray Casting



Ray Tracing

# Example of Ray Tracing



What is the difference with OpenGL?

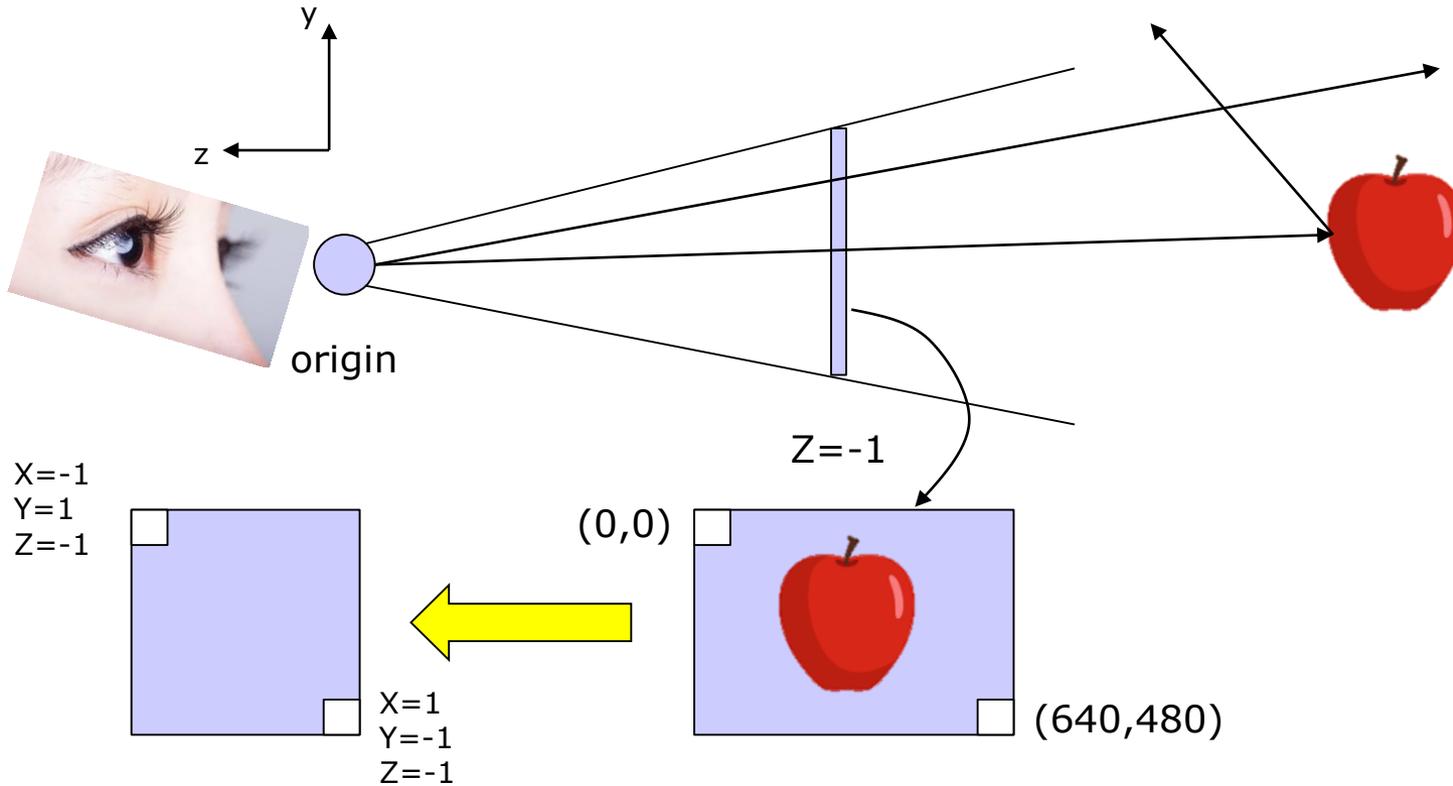
- There are two lights.
- Shadow, Transparency, Refracted image, and mirror
- Realistic scene rather than polygon-based OpenGL

Lenz effect from a sphere

Reflected image from floor



# Ray through Z= -1 (Near) plane

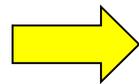


- Calculate Ray

$$(x, y) \in R^2$$

$$x = [0, w)$$

$$y = [0, h)$$



$$X = (x - 320) / 320$$

$$Y = -(y - 240) / 240$$

$$Z = -1$$

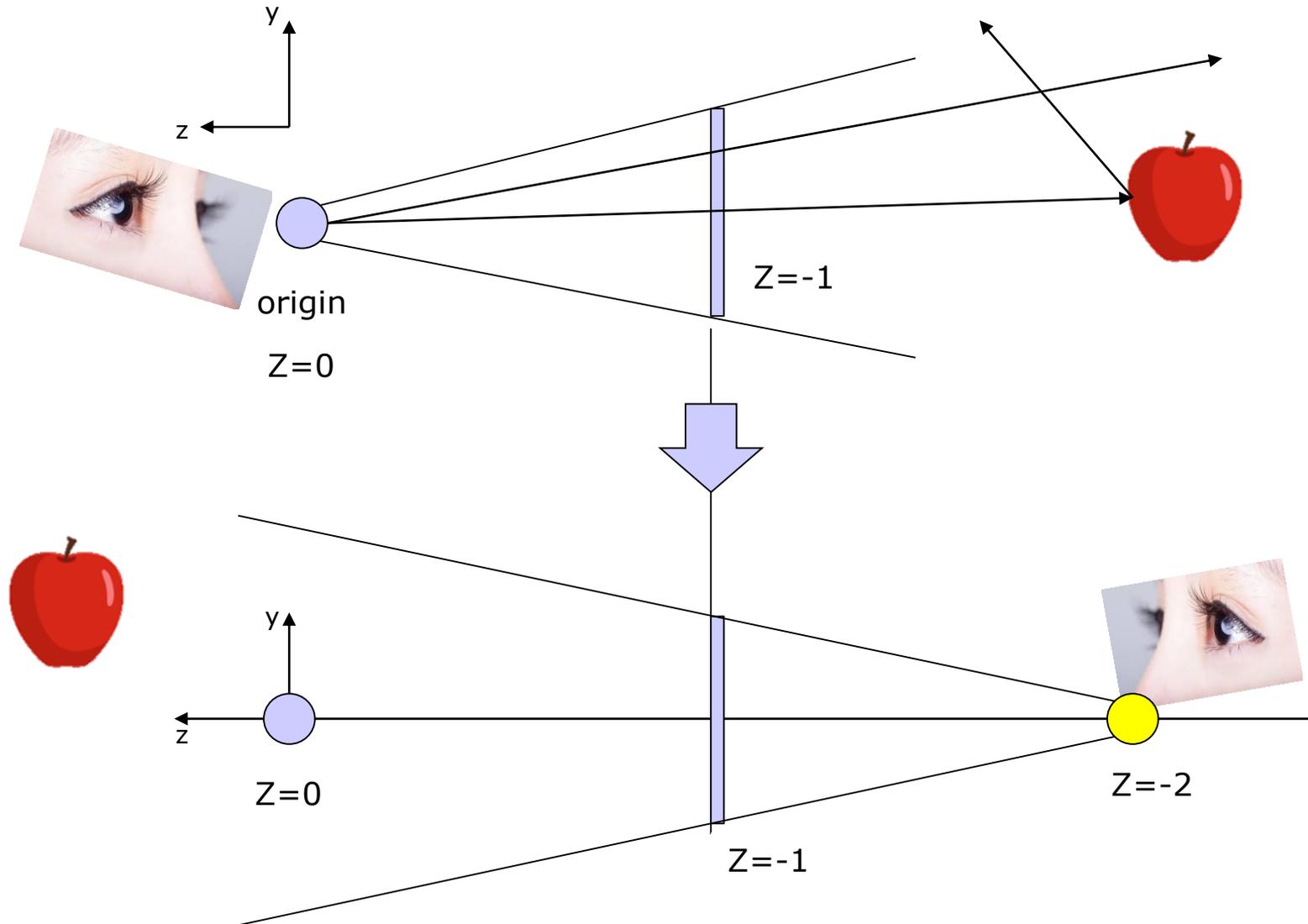


$$Ray, \hat{v} = [X, Y, Z] - o$$



# Modifying Viewpoint in RT Example

((-)Z is somewhat confused..)



# uRT-05-RT1-Buffer

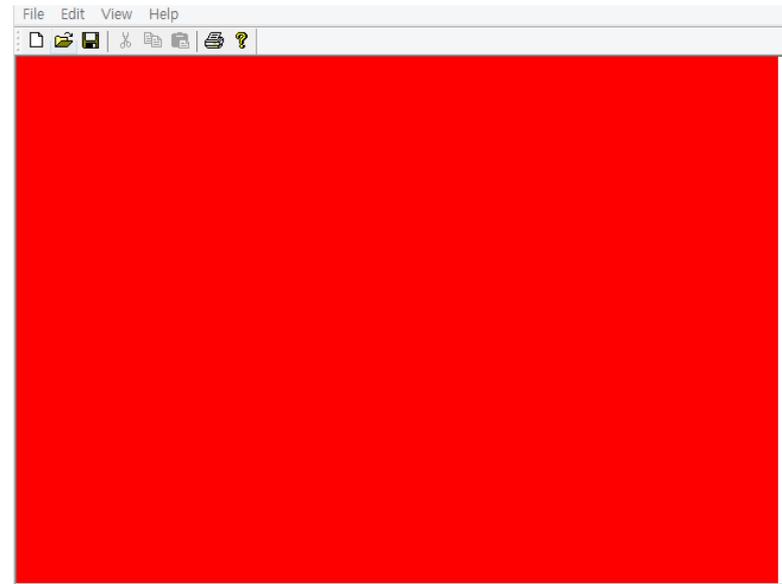
## Basic Buffering

- Load 640x480 image, “dummy.jpg”

```
void uRT::Update ()
{
    int w,h;
    w= screen.w;
    h= screen.h;

    BYTE *p = img.GetBuffer();
    for (int j=0;j<h;j++)
    for (int i=0;i<w;i++)
    {
        *p++ = 255; Red
        *p++ = 0;   Green
        *p++ = 0;   Blue
    }
    img.BGR2RGB();
}
```

**JPG is based on  
BGR color map**



Result  
640x480 red screen



# Ray Vector Calculation

## 640x480 = 307200 rays

- Ex) uRT-06-RT2-2DLight

```
uRT::uRT()
{
    screen.w    = 0;
    screen.h    = 0;
    screen.o    = uVector(0,0,-2);
}
```

```
uVector uRT::Ray(int x,int y)
{
    float dx,dy;
    dx = 2./screen.w;
    dy = 2./screen.h;

    uVector ret;
    ret.x = -1+ dx*x;
    ret.y = -(-1+ dy*y);
    ret.z = -1;

    ret.x = ret.x*screen.w/screen.h;

    ret = ret-screen.o;
    ret = ret.Unit();
    return ret;
}
```

$$X = (x - 320) / 320$$

$$Y = -(y - 240) / 240$$

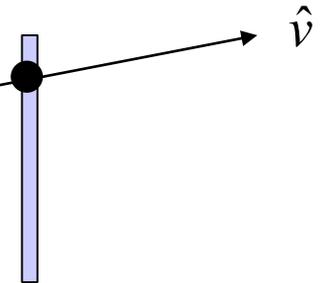
$$Z = -1$$

$$X' = X \cdot 640 / 480$$

$$\text{Ray}, \hat{v} = [X', Y, Z] - \hat{o}$$



$(x, y)$



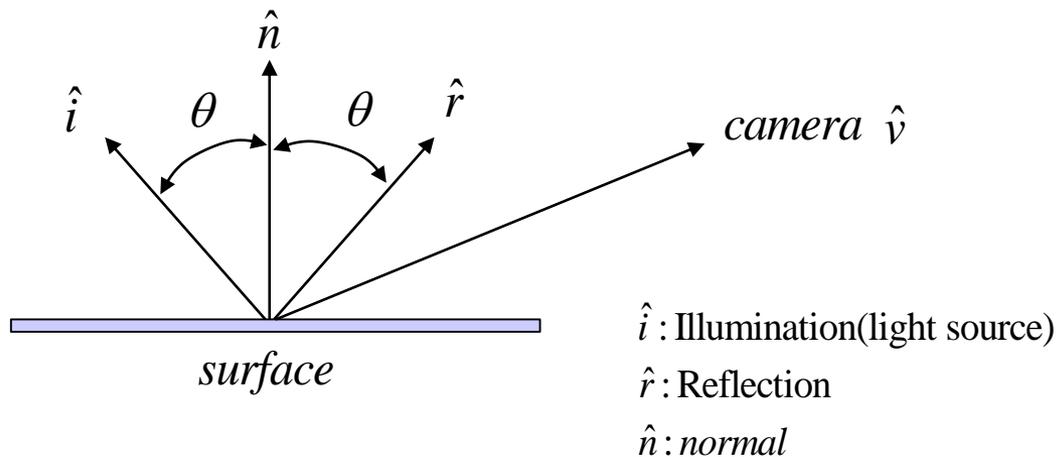
$Z = -1$



# uRT-06-RT2-2DLight

## Normal vector calculation

- Remind Lambertian Diffuse Model

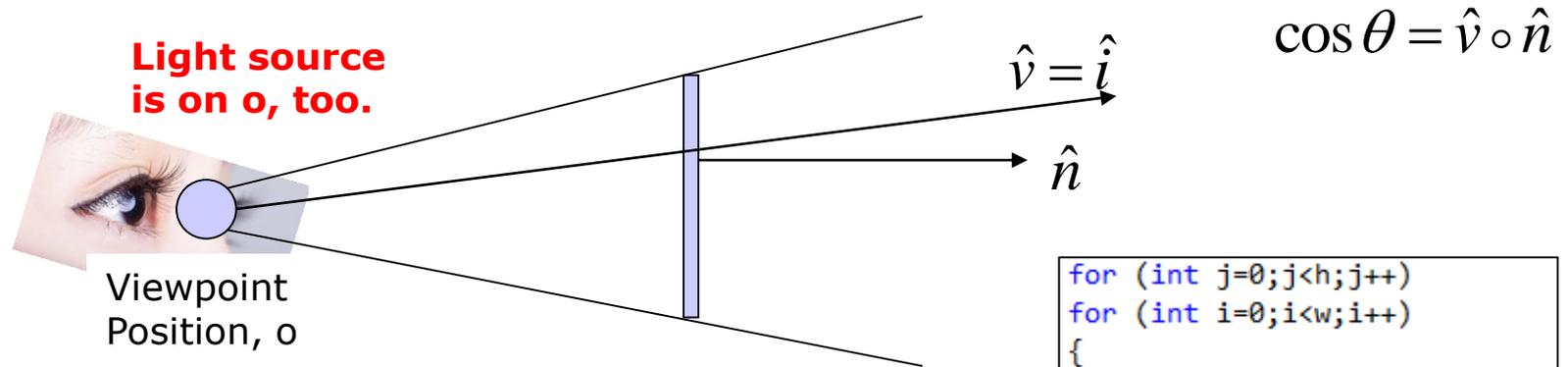


- Use normal vector  $(0,0,1)$
- Lambertian diffuse uses illumination source, i.  $\cos \theta = \hat{i} \circ \hat{n}$
- If we use a ray, v, what will happen?

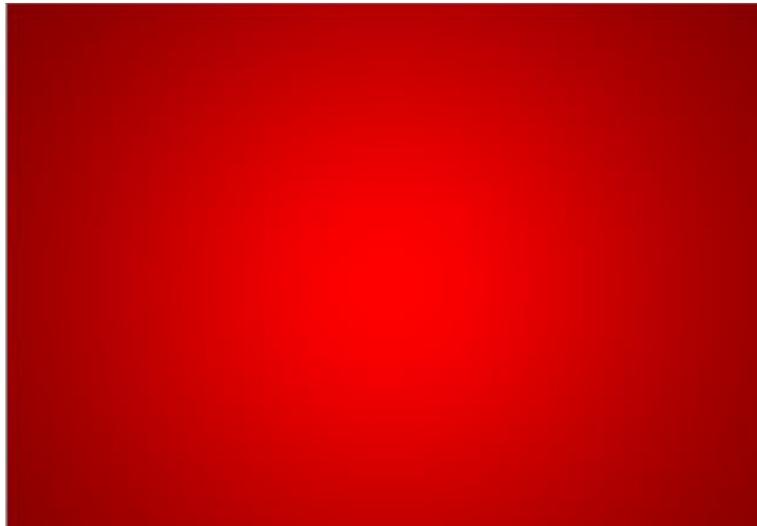
$$\hat{v} = \hat{i}, \quad \cos \theta = \hat{v} \circ \hat{n}$$

# uRT-06-RT2-2DLight

## Normal vector calculation



Result



```

for (int j=0;j<h;j++)
for (int i=0;i<w;i++)
{
    uVector v = Ray(i,j);

    uVector n(0,0,1);
    float f = v.Dot(n);

    c.r = f;
    c.g = 0;
    c.b = 0;

    c.r *=255;
    c.g *=255;
    c.b *=255;

    if (c.r>255)    c.r=255;
    if (c.g>255)    c.g=255;
    if (c.b>255)    c.b=255;
}

```

# Object Modeling in uObj

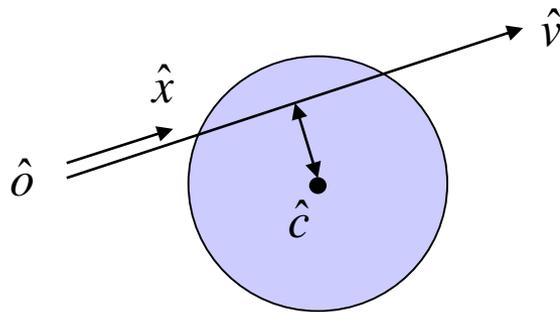
- 3D graphics uses Object Modeling in two ways.
- 1. Polygon-based modeling
  - Vertices and faces
- 2. Parametric Modeling
  - Sphere ( radius and center position)
  - Plane( normal vector and position)
  - and so on
- Ray tracing uses Parametric Modeling



# Example of Sphere

## Math of 3Dim. Vector Space

- The minimum distance is easy



$\hat{o}$  : starting position

$\hat{v}$  : direction

$\hat{c}$  : center

$$|\hat{v}|=1$$

$$\hat{x} = \hat{o} + \lambda \hat{v}$$

$$\therefore \hat{x} = \hat{o} - ((\hat{o} - \hat{c}) \circ \hat{v}) \hat{v}$$

$$d^2 = |\hat{x} - \hat{c}|^2 = |\hat{o} + \lambda \hat{v} - \hat{c}|^2 = |\hat{o} - \hat{c} + \lambda \hat{v}|^2 = |\hat{b} + \lambda \hat{v}|^2$$

$$= |\hat{b}|^2 + \lambda^2 |\hat{v}|^2 + 2\lambda \hat{b} \circ \hat{v} = |\hat{b}|^2 + \lambda^2 + 2\lambda \hat{b} \circ \hat{v}$$

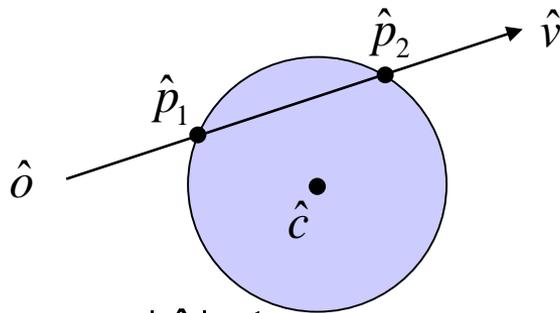
$$\frac{d}{d\lambda} d^2 = 2\lambda + 2\hat{b} \circ \hat{v} = 0$$

$$\therefore \lambda = -\hat{b} \circ \hat{v} = -(\hat{o} - \hat{c}) \circ \hat{v}$$



# Example of Sphere Intersection

- Get Intersection point for Ray Tracing



$$|\hat{v}|=1$$

$$\hat{x} = \hat{o} + \lambda \hat{v}$$

$$\text{radius, } r^2 = |\hat{x} - \hat{c}|^2 = |\hat{o} - \hat{c} + \lambda \hat{v}|^2 = |\hat{b} + \lambda \hat{v}|^2$$

$$= |\hat{b}|^2 + \lambda^2 |\hat{v}|^2 + 2\lambda \hat{b} \circ \hat{v}$$

$$\therefore \lambda^2 + 2\lambda \hat{b} \circ \hat{v} + |\hat{b}|^2 - r^2 = 0$$

$$\lambda_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} = \frac{-2\hat{b} \circ \hat{v} \pm \sqrt{4(\hat{b} \circ \hat{v})^2 - 4(|\hat{b}|^2 - r^2)}}{2}$$



# If Ray passes the Sphere or Not

$$\hat{x} = \hat{o} + \lambda \hat{v}$$

$$\lambda_{1,2} = -\hat{b} \circ \hat{v} \pm \sqrt{(\hat{b} \circ \hat{v})^2 - (|\hat{b}|^2 - r^2)}$$

- 3Dim space is in a REAL Space

$$D = (\hat{b} \circ \hat{v})^2 - (|\hat{b}|^2 - r^2) < 0 : \text{No Intersection}$$

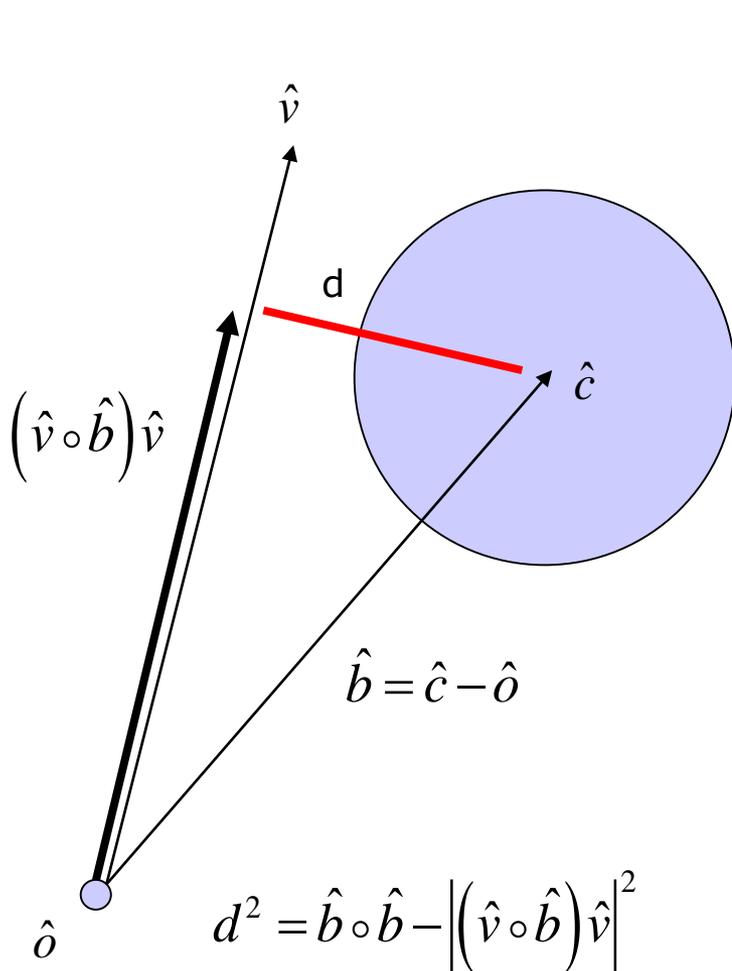
$$D = (\hat{b} \circ \hat{v})^2 - (|\hat{b}|^2 - r^2) \geq 0 : \text{Intersection}$$

$$\lambda_{1,2} = -\hat{b} \circ \hat{v} \pm \sqrt{(\hat{b} \circ \hat{v})^2 - (|\hat{b}|^2 - r^2)}$$

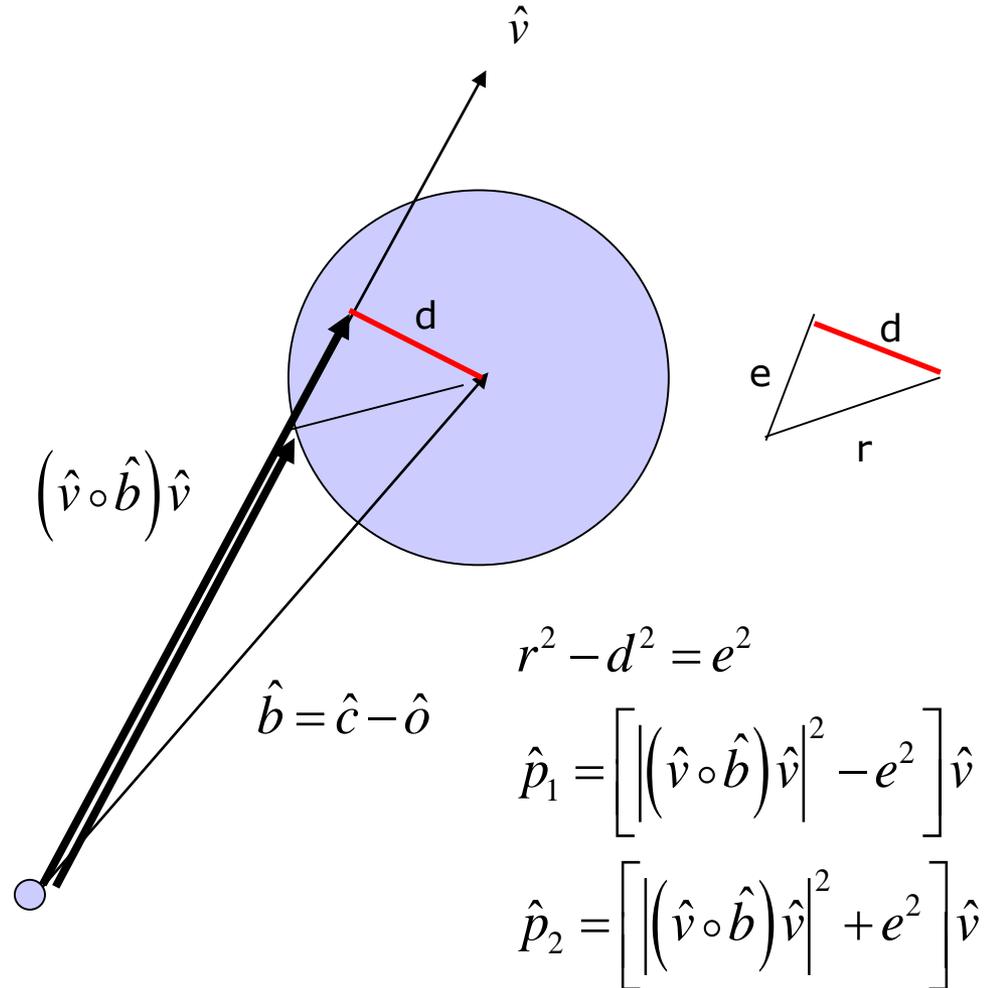
$$\hat{p}_1 = \hat{o} + \lambda_1 \hat{v}, \quad \hat{p}_2 = \hat{o} + \lambda_2 \hat{v}$$



# Alternative Solution by Geometry

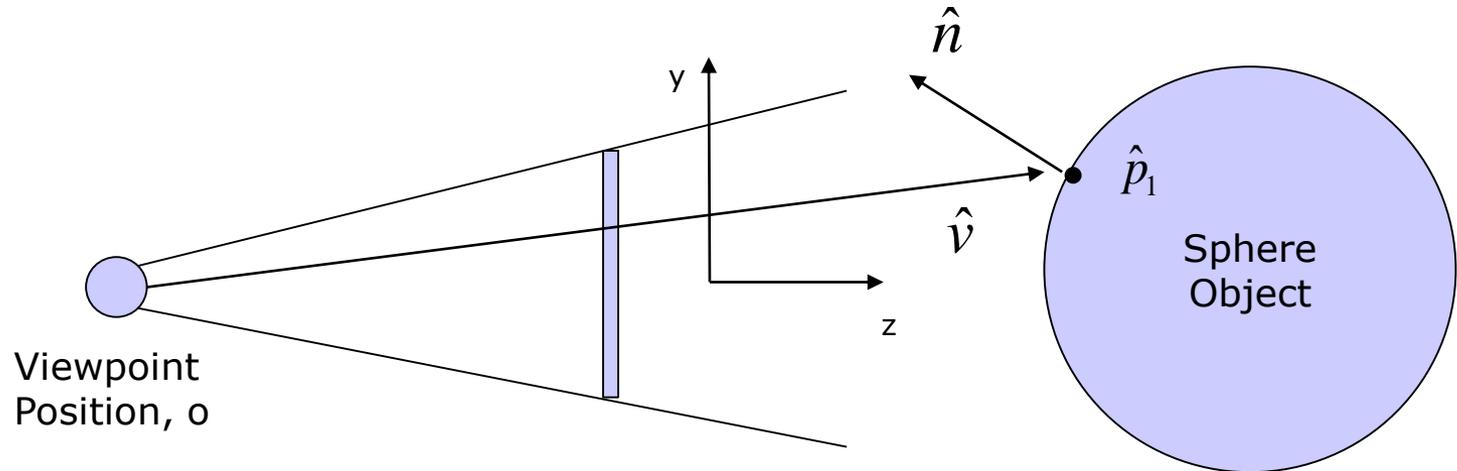


$d > r$ : No Intersection



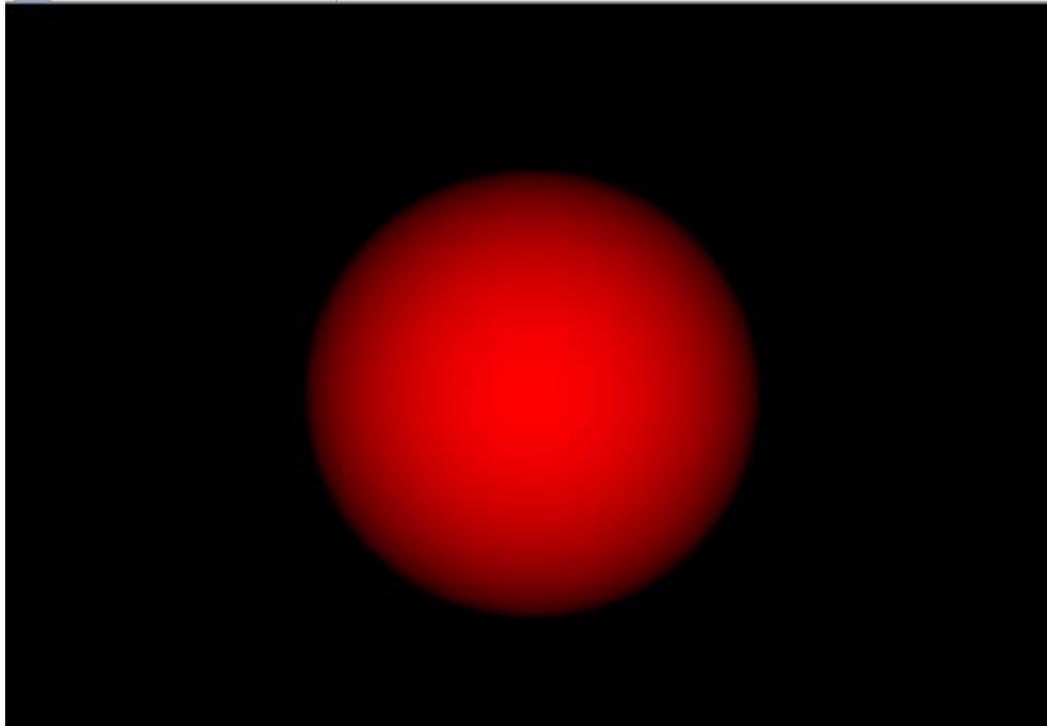
# Ray Tracing with a Sphere

## uRT-07-RT3-Object



- Assume that **Light source is on Viewpoint,  $o$ .**
- Step 1) Fire ray
- Step 2) Find the intersection point,  $p_1$
- Step 3) Get Unit Normal  $\hat{n} = (\hat{p}_1 - \hat{c})_u$
- Step 4) Color =  $\cos \theta = \hat{i} \circ \hat{n}$

# uRT-07-RT3-Object



```

for (int j=0;j<h;j++)
for (int i=0;i<w;i++)
{
    uVector v = Ray(i,j);
    c    = FindRGB(screen.o,v);
}

```

```

uColor uRT::FindRGB(uVector o, uVector v)
{
    uColor ret;

    float fmin=1e10;
    for (int i=0;i<m_objs.GetSize();i++)
    {
        // Get minimum.
        uObj *p = &m_objs[i];
        float f = p->Distance(o,v);
        if (f<fmin) fmin = f;

        // get intersection point
        if (f<0) continue;
        uVector pt= o+v*f;
        uVector n = p->Normal(pt);

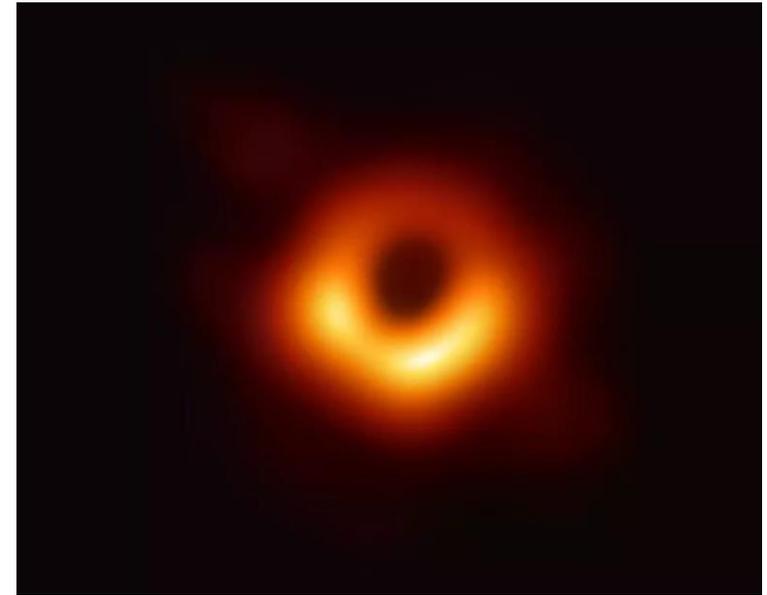
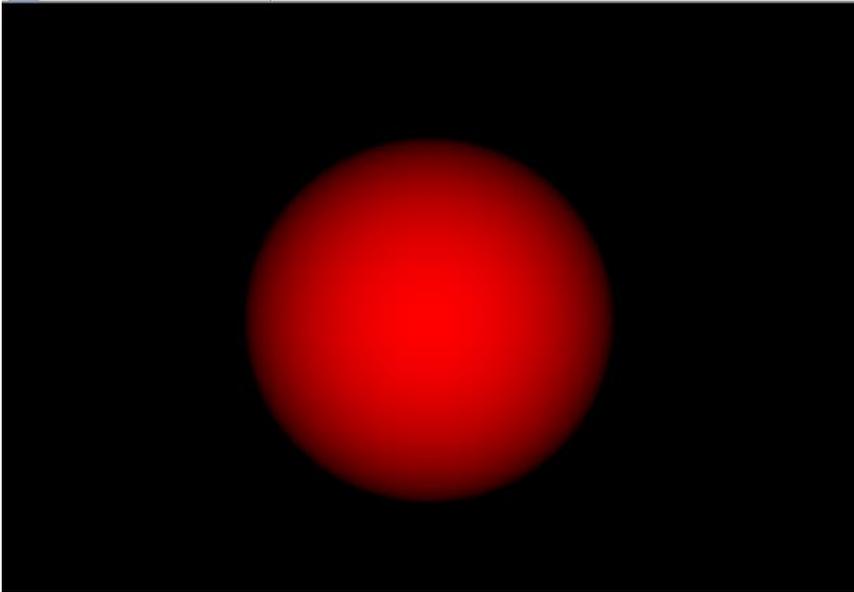
        ret.r    = -v.Dot(n);
        ret.g = 0;
        ret.b = 0;
    }

    return ret;
}

```



# Break Time



Black hole by X ray images

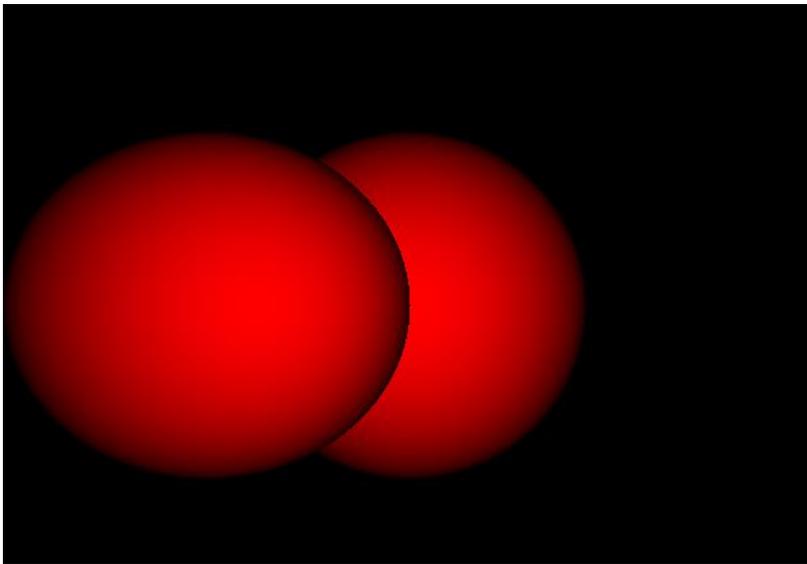
- **Lambertian Model** works as Smoothing effect
- Black hole images are Rendered by Mathematical Calculation( It is NOT an optical image)



# Ray Tracing Depth Sorting

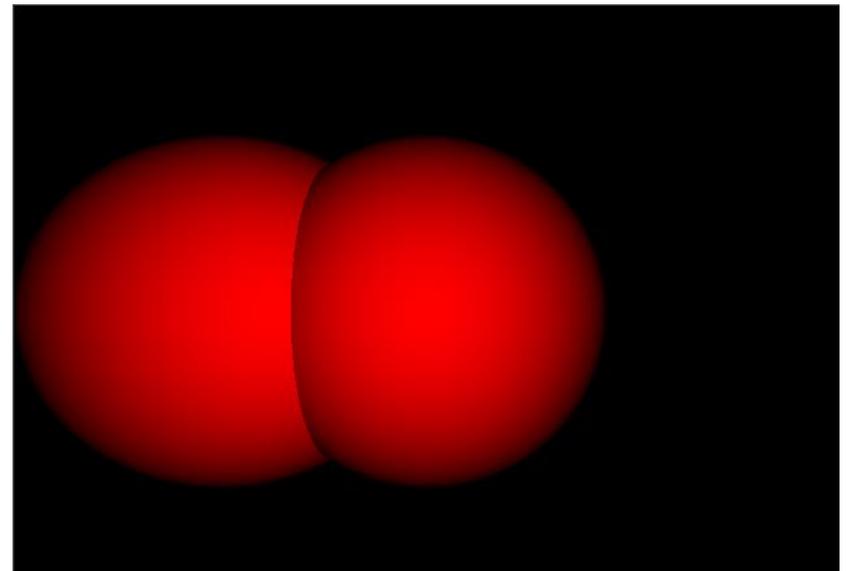
- It is similar to Z-buffer method
- Depth sorting finds which one is nearest to viewpoint.

uRT-08-RT4-MultiObject-ZProb



Bad Case

uRT-09-RT4-MultiObject-ZOrder



Good case

# Modified FindRGB

```

uColor uRT::FindRGB(uVector o, uVector v)
{
    uColor ret;

    float   fmin=1e10;
    int     mini=-1;
    for (int i=0;i<m_objs.GetSize();i++)
    {
        // Get minimum.
        uObj *p = &m_objs[i];
        float f = p->Distance(o,v);
        if (f<0)   continue;

        if (f<fmin)
        {
            fmin = f;
            mini = i;
        }
    }

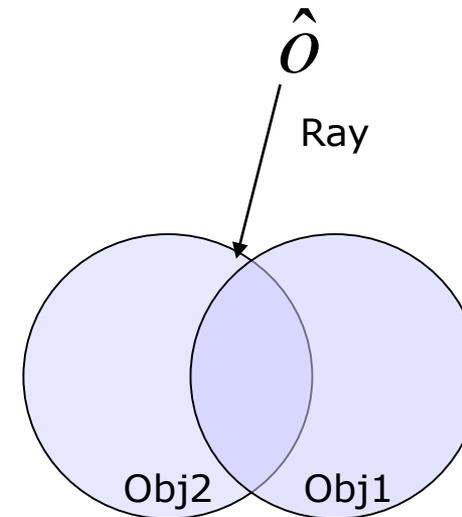
    // draw the nearest object which has the minimum distance
    if (mini>=0)
    {
        // get intersection point
        uObj *p = &m_objs[mini];
        uVector pt= o+v*fmin;
        uVector n = p->Normal(pt);

        ret.r   = -v.Dot(n);
        ret.g = 0;
        ret.b = 0;;
    }

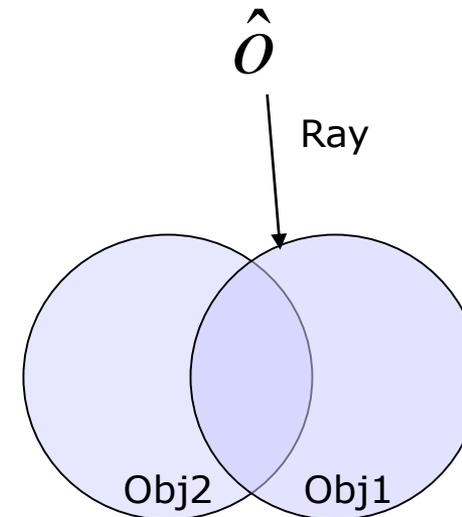
    return ret;
}

```

Find which one has minimum distance from viewpoint,  $o$



Obj2 is closed to position,  $o$

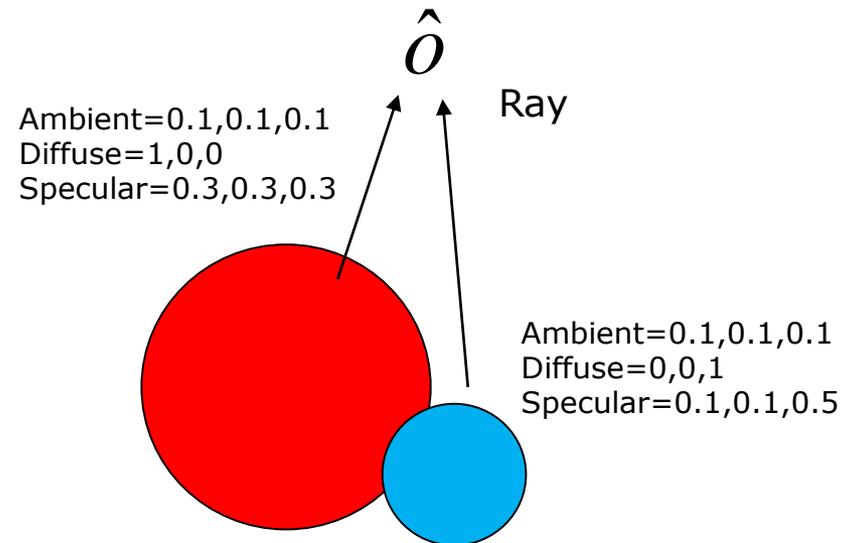
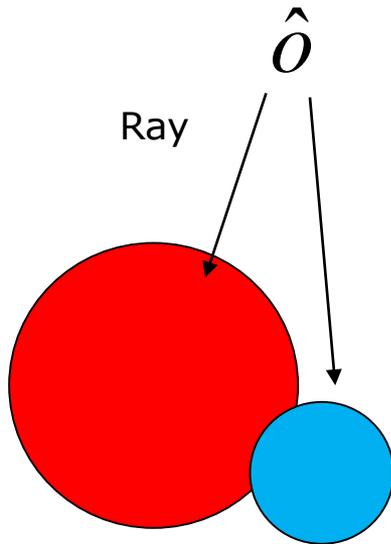


Obj1 is closed to position,  $o$



# Diffuse, Ambient, and Specular in Ray Casting

- Ray get color from Object



# uRT-10-RT5-Colors-Ambient\_diffuse

```

uColor uRT::FindRGB(uVector o, uVector v)
{
    uColor ret;

    float    fmin=1e10;
    int      mini=-1;
    for (int i=0;i<m_objs.GetSize();i++)
    {
        // Get minimum.
        ...
    }

    // draw the nearest object which has the minimum distance
    if (mini>=0)
    {
        // get intersection point
        uObj *p = &m_objs[mini];
        uVector pt= o+v*fmin;
        uVector n = p->Normal(pt);

        float dot = -v.Dot(n);

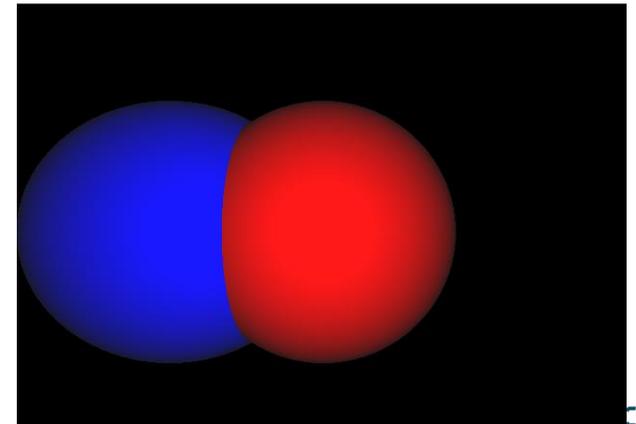
        ret      = p->ambient+ p->diffuse*dot +p->specular;
    }

    return ret;
}

```

One Step Ray Tracing

RGB=ambient+diffuse $\cdot\cos\theta$ +specular

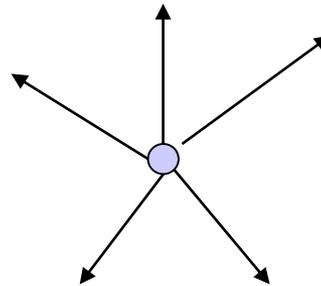


# Light Position

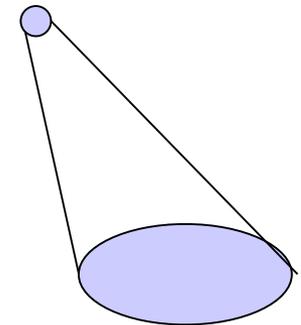
- Light object: uLight
  - The previous examples has the light at the viewpoint

```
// Illumination source(light)
class uLight
{
public:
    uLight();
public:
public:
    uVector o;
};
```

Light position



Point light



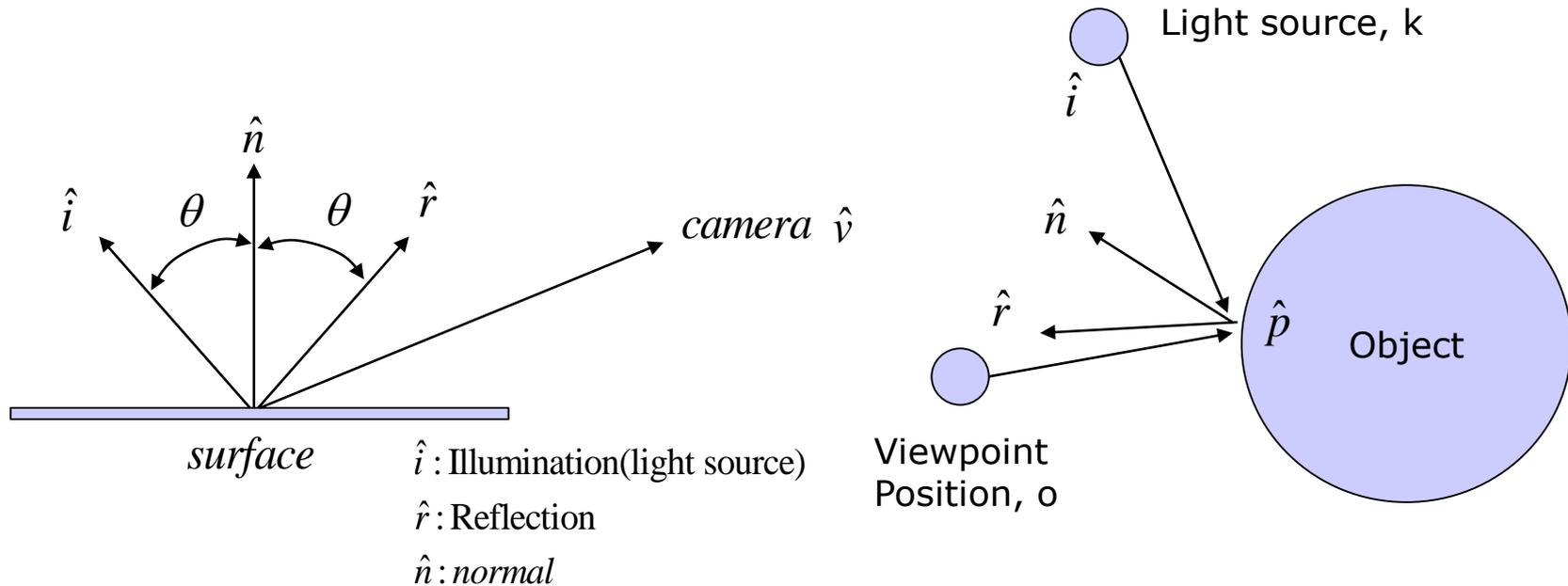
Directional light

*Attenuation,  $\alpha = \text{func}(\text{distance})$*

*$RGB' = RGB \cdot \alpha$*



# How to Calculate the Distance to Point Lights



- Step 1. calculate intersection point,  $p$
- Step 2. calculate illumination vector,  $\hat{i} = \hat{k} - \hat{p}$
- Step 3. calculate normal vector
- Step 4. calculate Reflection vector  $\hat{r} = 2\hat{n} - \hat{i}$

# Math of Reflection Vector

pp. 54 in Lecture 8

Reflection vector

$$\frac{\hat{i} + \hat{r}}{2} = (\hat{i} \circ \hat{n}) \hat{n}$$

$$\therefore \hat{r} = 2(\hat{i} \circ \hat{n}) \hat{n} - \hat{i}$$

Reflection vector

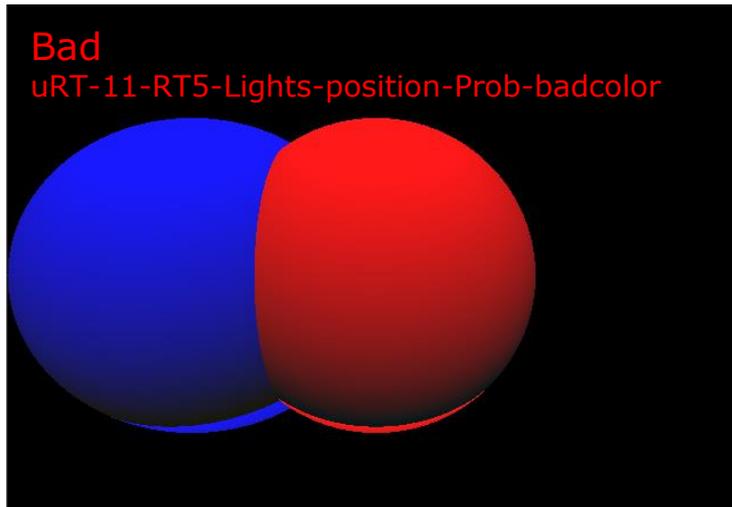
$$\frac{\hat{i} + \hat{r}}{2} = \hat{n}$$

$$\therefore \hat{r} = 2\hat{n} - \hat{i}$$

- What is the difference?
- Think if illumination vector  $\hat{i}$  is normalized,
  - The result is same.



# Ex) Bad and Good Case

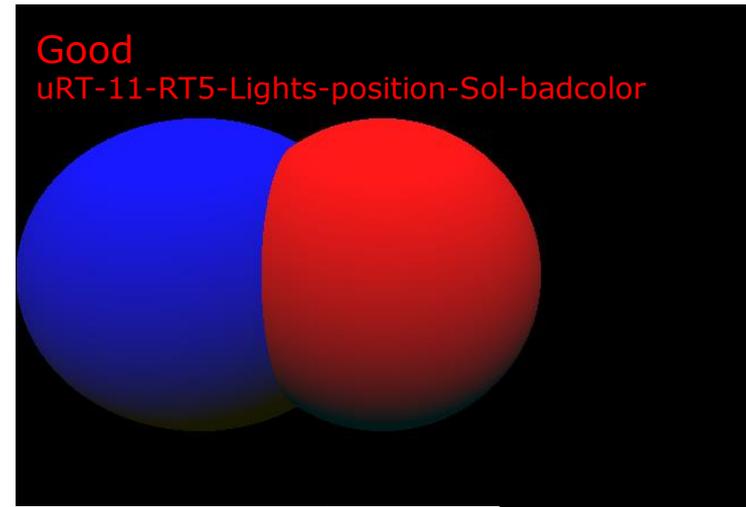


```
uVector v = Ray(i,j);
c = FindRGB(screen.o,v);
```

```
c.r *=255;
c.g *=255;
c.b *=255;
```

```
if (c.r>255)    c.r=255;
if (c.g>255)    c.g=255;
if (c.b>255)    c.b=255;
```

```
r = (BYTE)c.r;
g = (BYTE)c.g;
b = (BYTE)c.b;
```



```
uVector v = Ray(i,j);
c = FindRGB(screen.o,v);
```

```
c.r *=255;
c.g *=255;
c.b *=255;
```

```
if (c.r>255)    c.r=255;
if (c.g>255)    c.g=255;
if (c.b>255)    c.b=255;
```

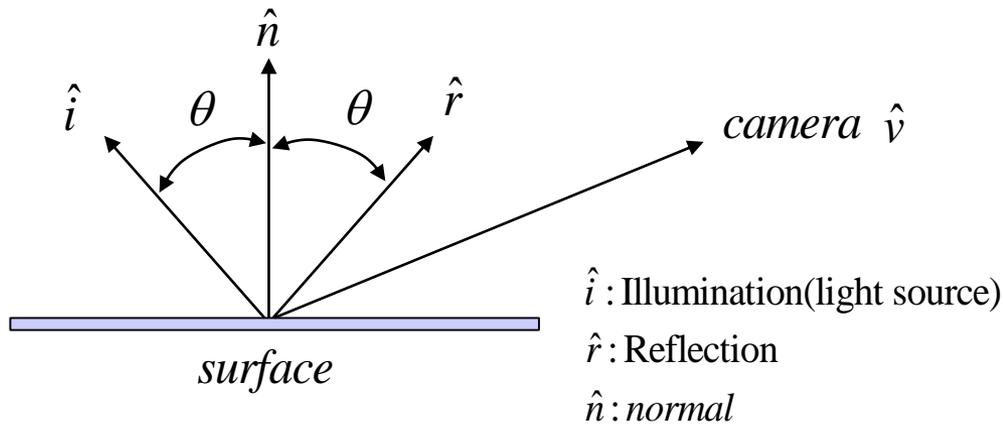
```
if (c.r<0)     c.r=0;
if (c.g<0)     c.g=0;
if (c.b<0)     c.b=0;
```

```
r = (BYTE)c.r;
g = (BYTE)c.g;
b = (BYTE)c.b;
```



# Phong Effect

pp. 54 in lecture 8



$$\therefore \cos \alpha = \hat{r} \circ \hat{v}$$

$$S(\alpha) = \cos \alpha^s$$

- Color is determined by

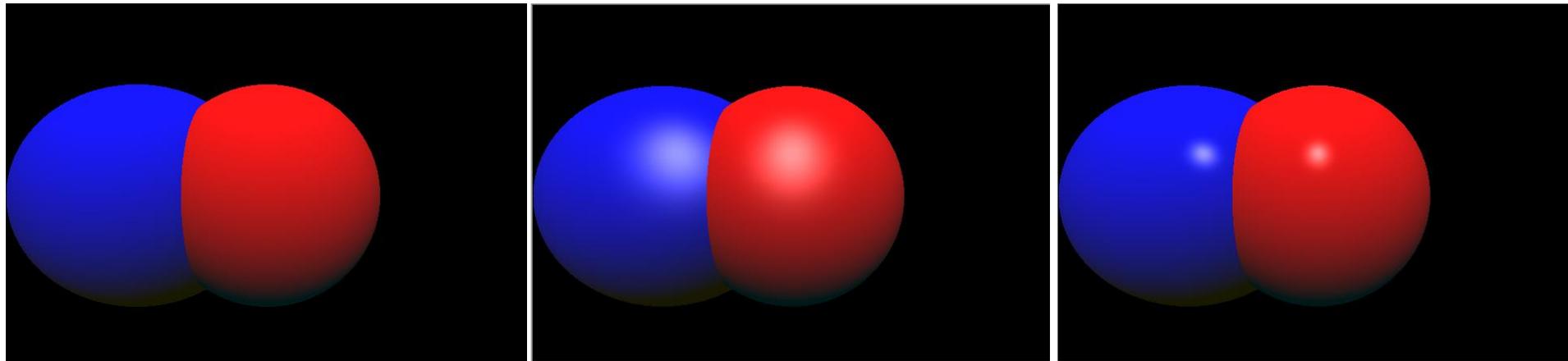
$$RGB = Ambient + Diffuse \cdot \cos \theta + Specular \cdot S(\alpha)$$

$$= Ambient + Diffuse \cdot (\hat{i} \circ \hat{n}) + Specular \cdot (\hat{r} \circ \hat{v})^s$$



# Phong's effect Result

## uRT-12-RT6-Colors-Specular-Phong



specular

Phong's specular  $(\hat{r} \circ \hat{v})^{10}$ Phong's specular  $(\hat{r} \circ \hat{v})^{100}$ 

```

if (l.Dot(n)<0)    r = uVector(0,0,0); // No reflection
else              r = (n*2-1).Unit();

//dot = -v.Dot(n);
dot      = l.Dot(n);
sdot     = -v.Dot(r);
sdot     = pow(sdot,100);
ret      = ret + p->diffuse*dot + p->specular*sdot;

```



# OpenGL with GLSL is same with Ray Tracing with Phong's effect

What you learn here  
is close to  
Ray Casting



OpenGL GLSL is  
Nearly  
Semi Ray Tracing  
(or Ray casting)

- Ray casting does not cover Phong's effect.
- You finished Background Knowledge of OpenGL by learning Ray Tracing

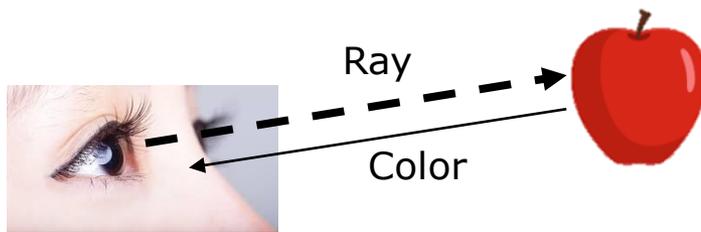


3

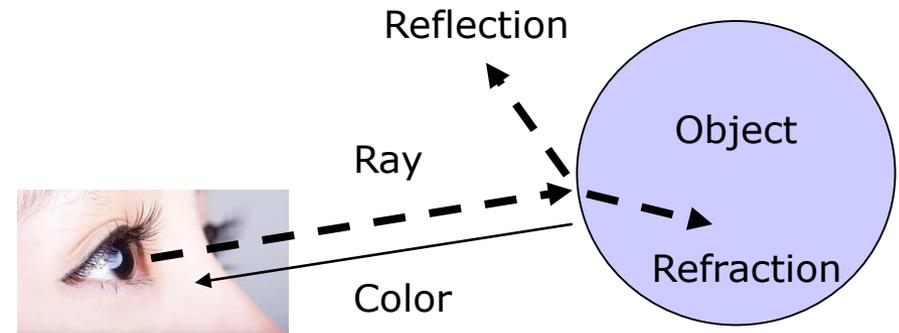
# Over OpenGL Technologies

## Ray Tracing with Transparency

# Ray Tracing with Transparent Ray



Ray Casting

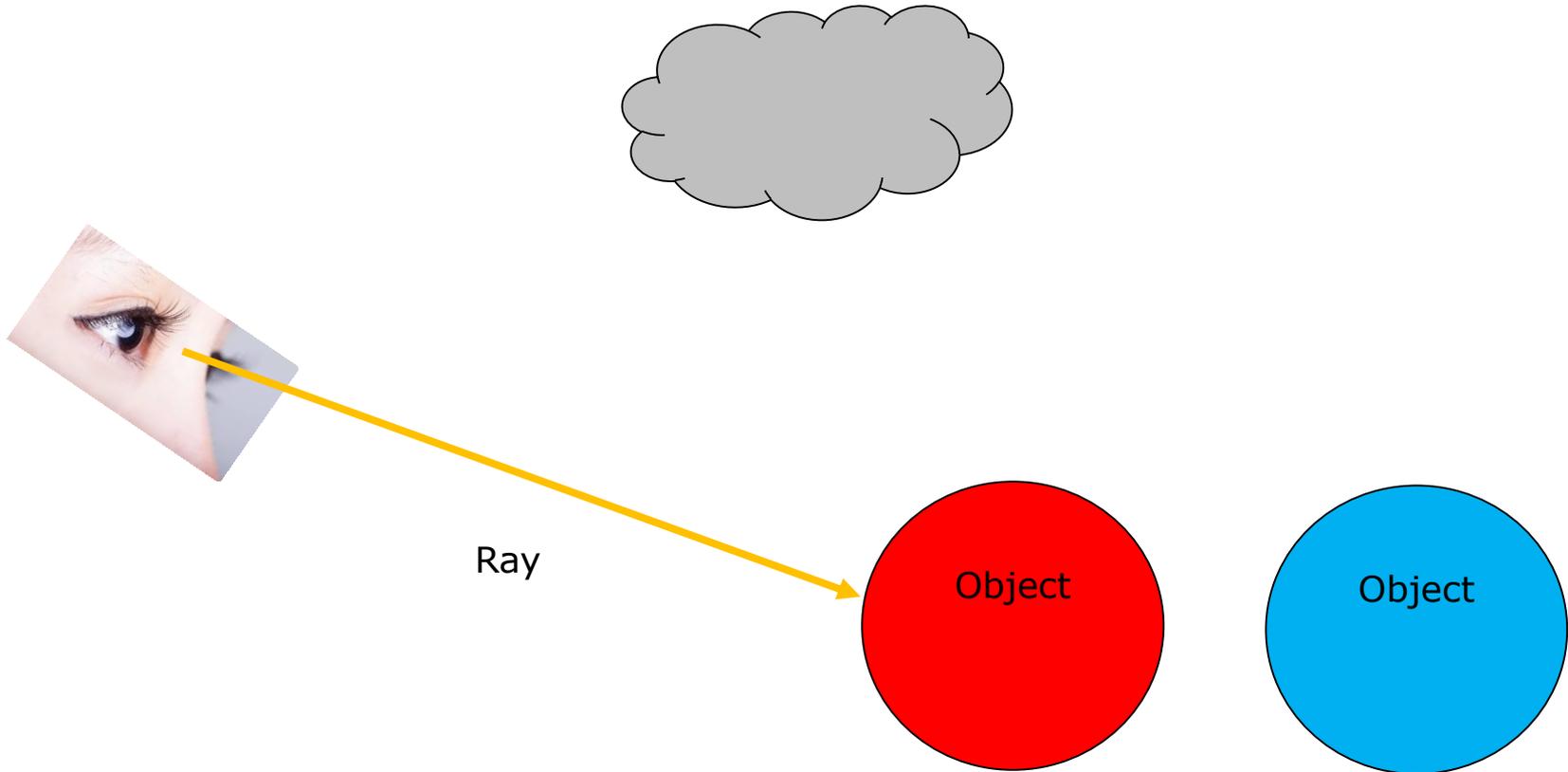


Ray Tracing

- Each Ray is divided by Two Rays, such as Reflection and Refraction
- Reflected and Refracted rays are repeatedly divided by other two rays such as reflection and Refraction

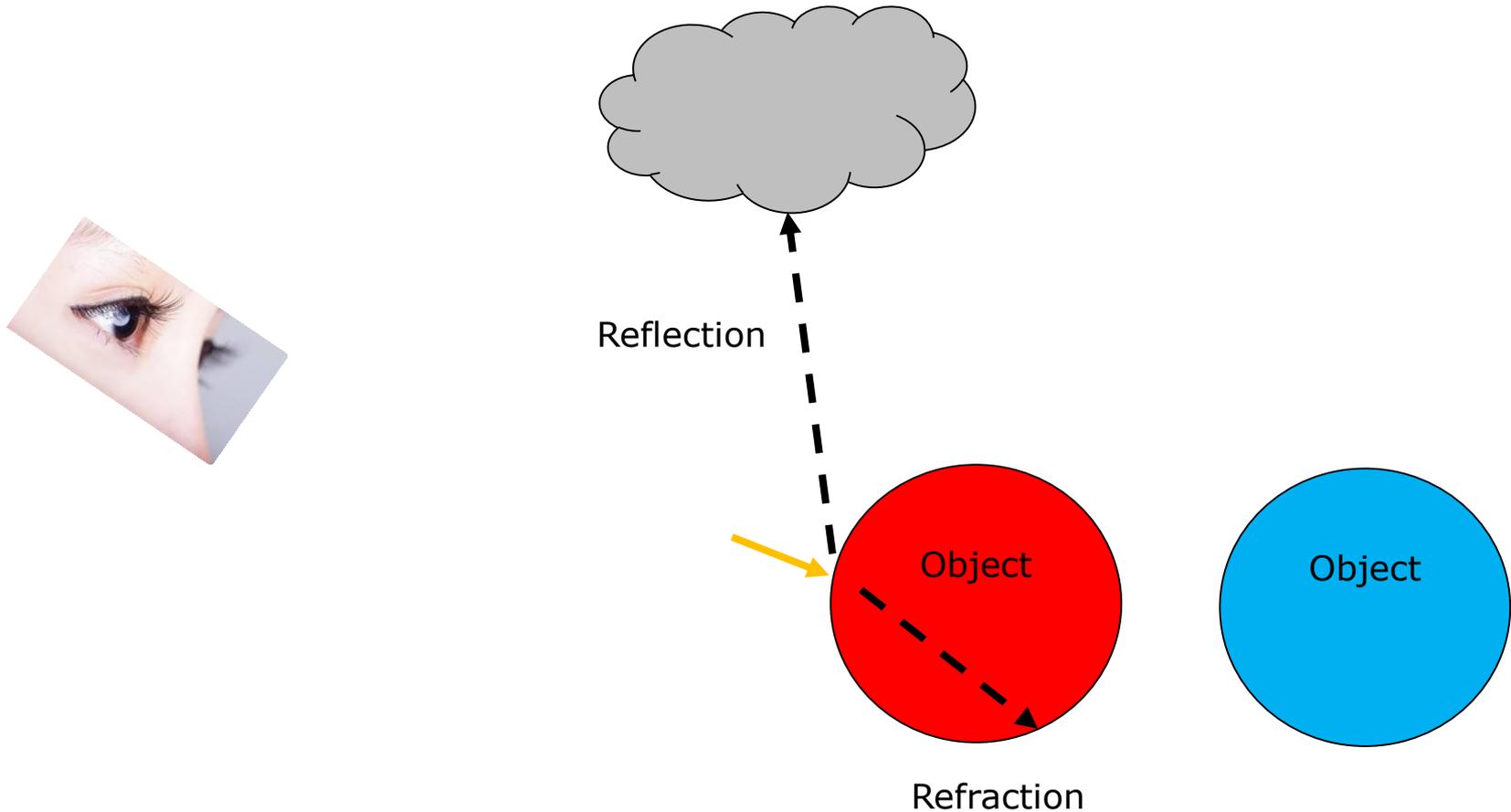
# Ray Tracing Concept.

## Step1. Ray Start from Eye



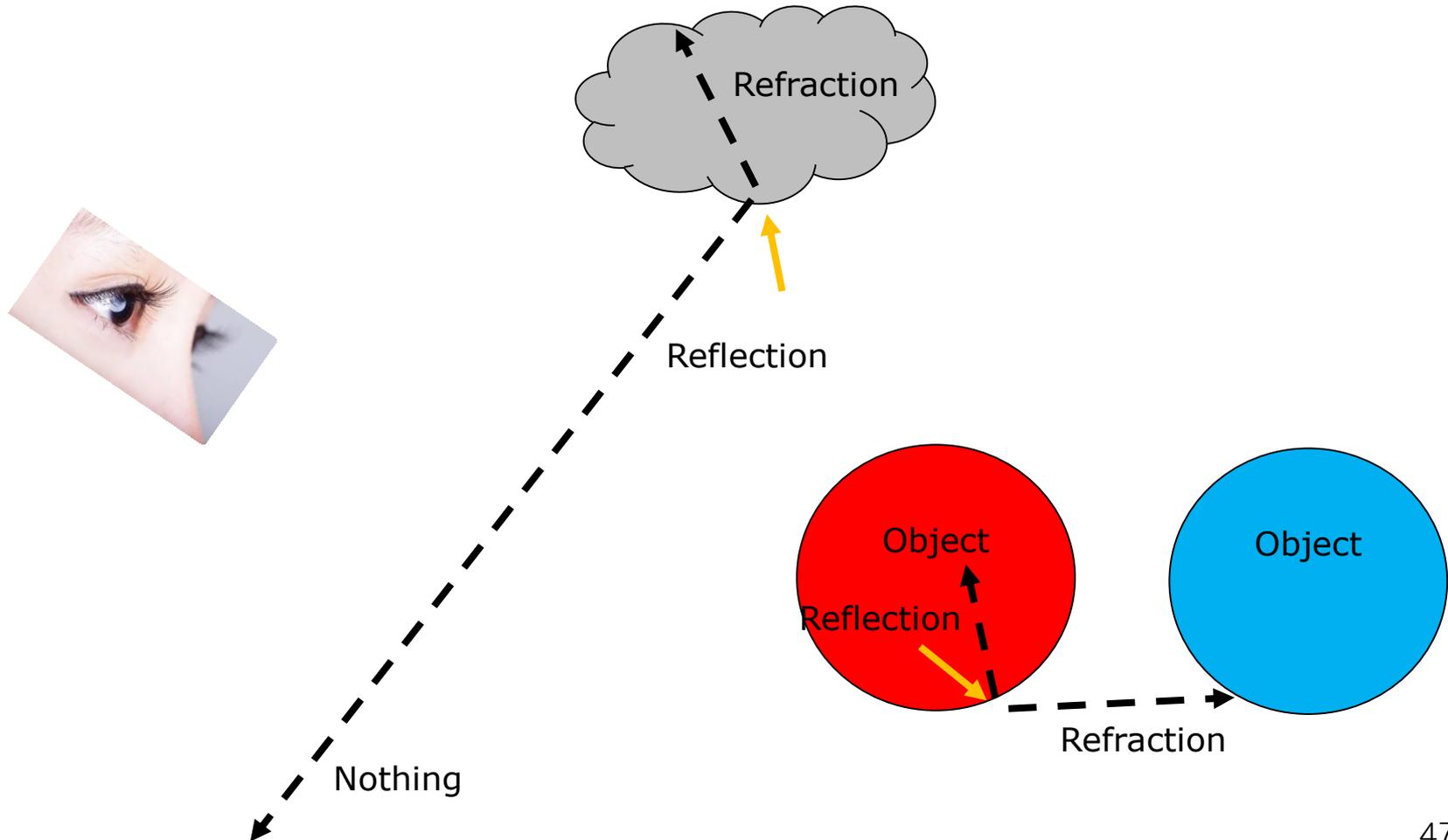
## Ray Tracing Concept.

## Step2. Ray generates Reflection and Refraction



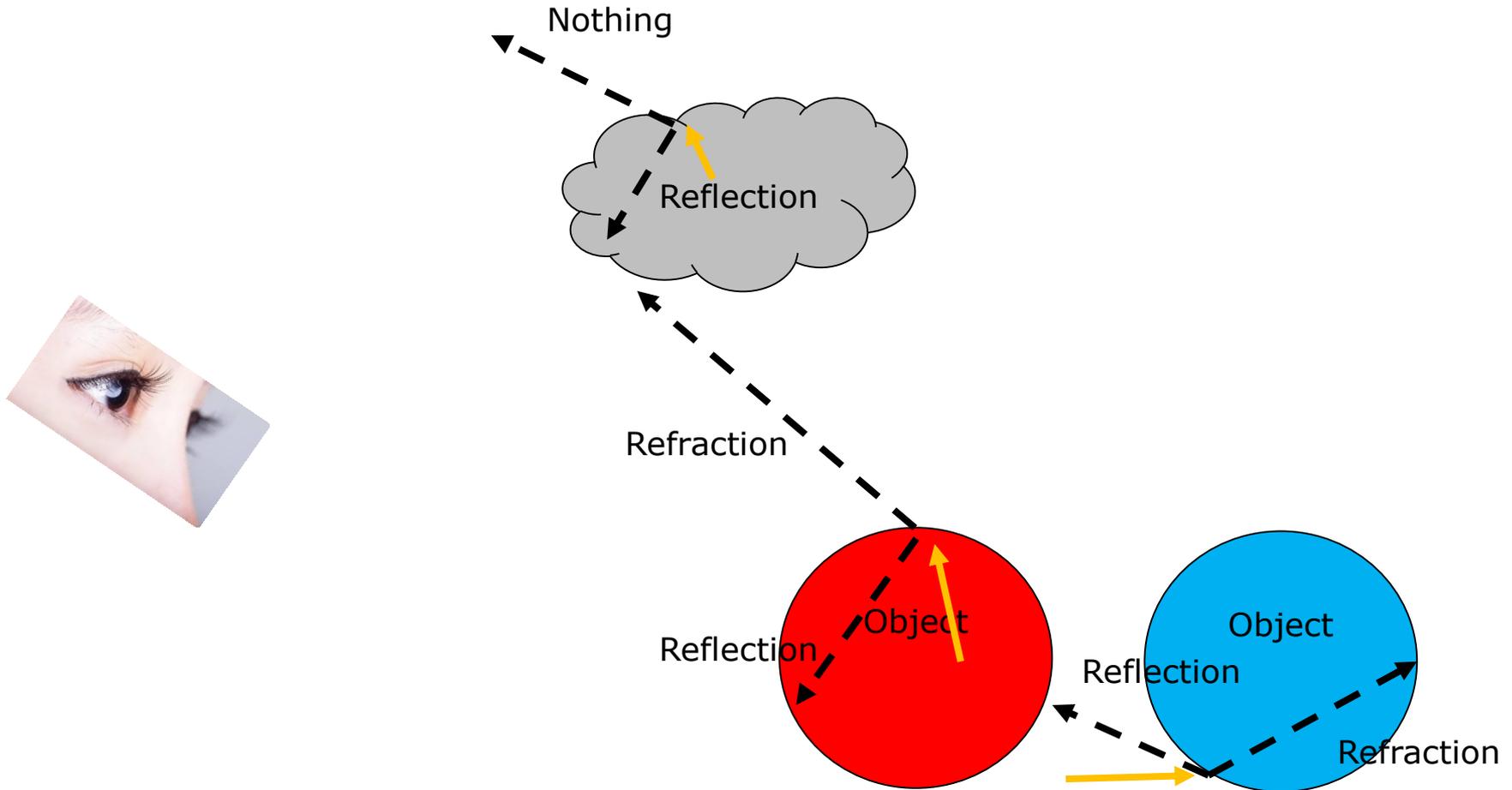
# Ray Tracing Concept.

## Step3. Each Ray generates Reflection and Refraction



# Ray Tracing Concept.

## Step4. More and More Rays

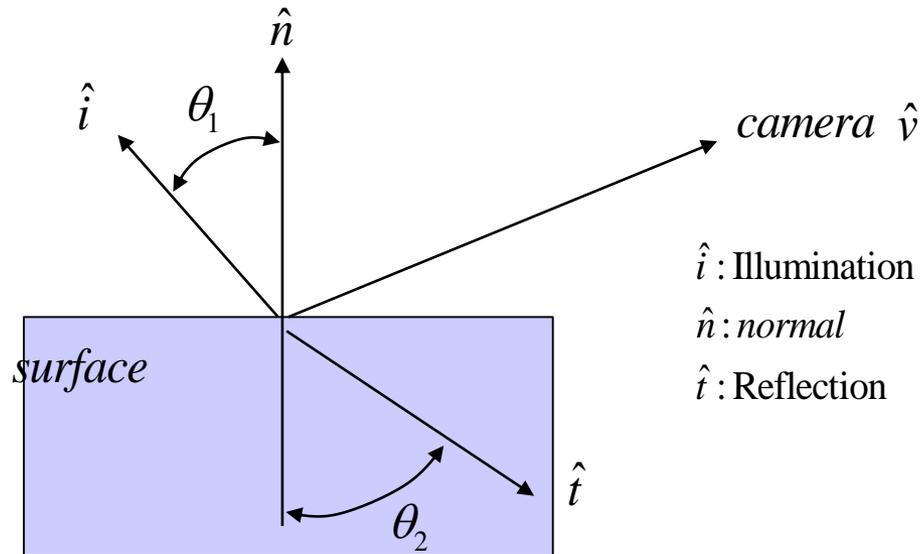


# Ray Tracing has three features

- 1. Nothing to meet an object or a light
  - The Ray goes astray.
  - I means that the ray meets No light → It is removed
- 2. It is called, Infinite Ray Generation → Infinite Loop
  - One ray is divided into Reflection and Refraction.
  - Set limitation of the Ray Separation( or Generation)
  - Three for simple example → Low quality.
  - One ray with three steps generates 8 rays.
- 3. Light Intensity is needed.
  - Light CANNOT go infinite distance.
  - Light intensity is Inverse proportional to the distance



# Refraction

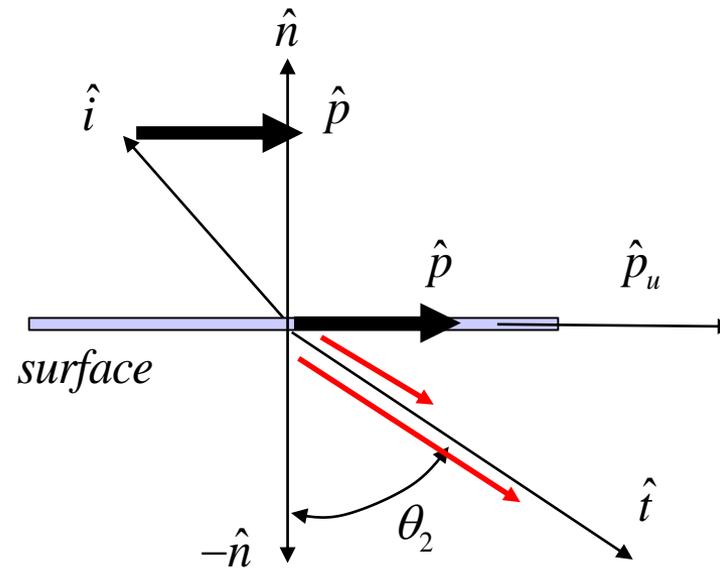
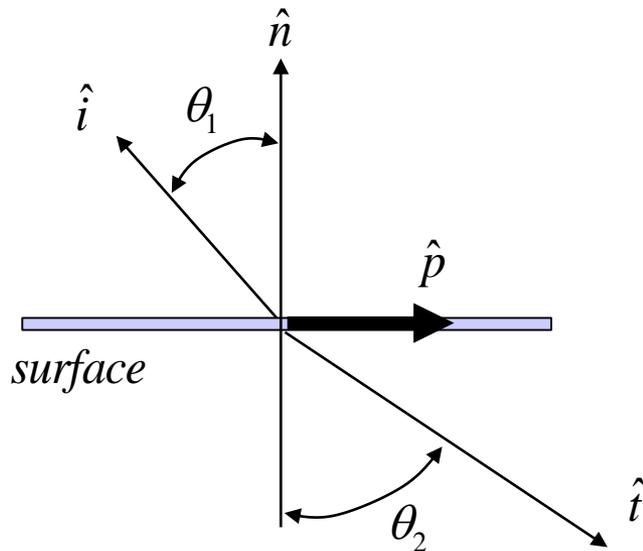


- Snell's Law
  - Light velocity varies when passing materials

$$\text{Refractive Factor} = n = \frac{v_1}{v_2} = \frac{\sin \theta_1}{\sin \theta_2} = \frac{n_2}{n_1}$$



# Refraction Vector Calculation



$$\hat{p} = (\hat{i} \circ \hat{n})\hat{n} - \hat{i}$$

$$\hat{p}_u = \hat{p} / |\hat{p}|$$

$$\hat{t} = \sin \theta_2 \hat{p}_u + \cos \theta_2 (-\hat{n})$$



# Get Refraction Vector

```

uVector uObj::Refraction(uVector ray,uVector n)
{
    uVector ni = ray*-1;
    float d = ni.Dot(n);
    uVector p = n*d+ray;
    p = p.Unit();

    float s1 = sqrt(1-d*d);
    float s2 = s1/geo.tr;

    float c2;
    if (s2>=1) return uVector(0,0,0);

    c2 = sqrt(1-s2*s2);
    uVector t;

    t = p*s2 -n*c2;
    t = t.Unit();
    return t;
}

```

$$|ray, \hat{v}| = 1$$

$$\hat{i} = -\hat{v}$$

$$\hat{p} = (\hat{i} \circ \hat{n}) \hat{n} - \hat{i}$$

$$\hat{p}_u = \hat{p} / |\hat{p}|$$

$$\hat{p} = (\hat{i} \circ \hat{n}) \hat{n} - \hat{i} = (-\hat{v} \circ \hat{n}) \hat{n} + \hat{v}$$

$$\hat{p}_u = \hat{p} / |\hat{p}|$$

$$\text{Refractive Factor} = \frac{\sin \theta_1}{\sin \theta_2} = \text{geo.tr}$$

$$\hat{t} = \sin \theta_2 \hat{p}_u + \cos \theta_2 (-\hat{n})$$

$$\hat{t}_u = \hat{t} / |\hat{t}|$$



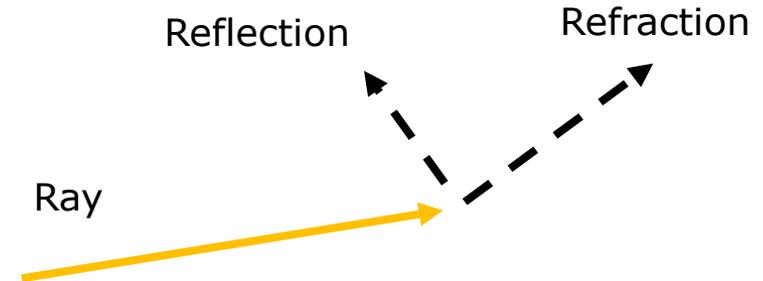
# Refraction with geo.tr vector

```

if (nCount<3)
{
    nCount++;
    int nr = nCount;
    int nt = nCount;

    uVector t    = p->Refraction(v,n);
    uColor ref   = FindRGB(pt,r,nr)*p->specular;
    uColor tra   = FindRGB(pt,t,nt)*p->transparent;
    ret = ret + ref +tra;
}

```



- Three steps Ray tracing.

Ret = Diffuse + Ambient

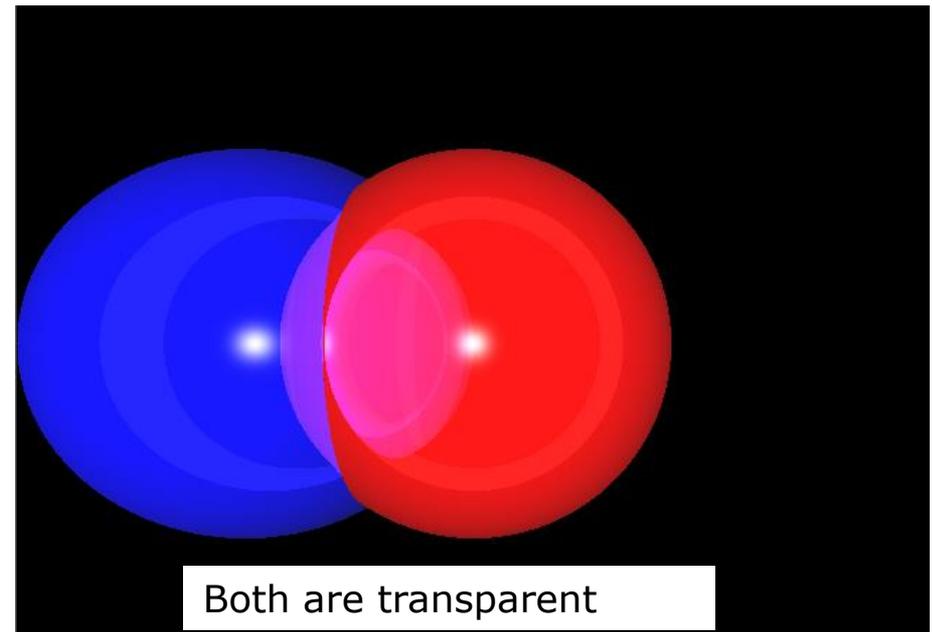
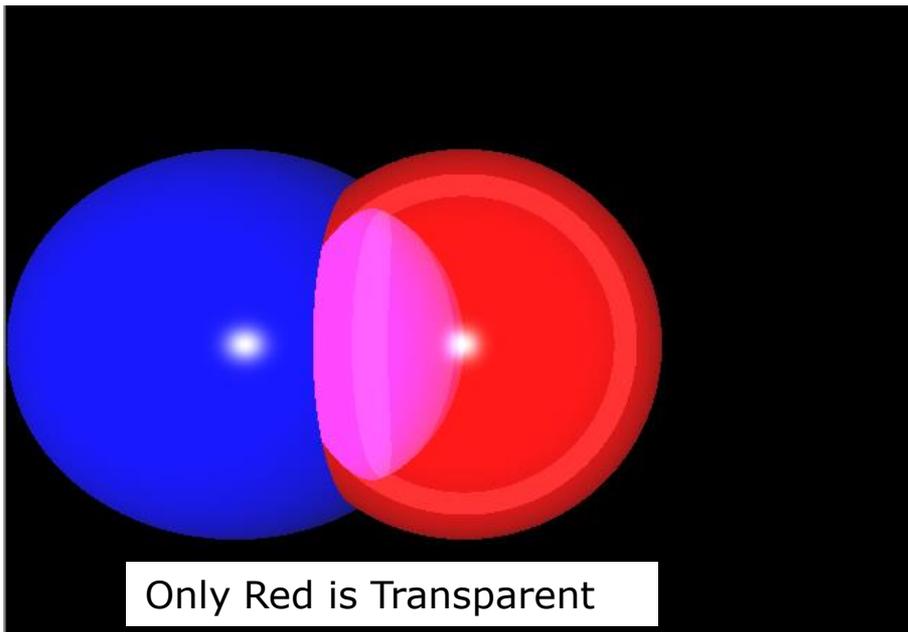
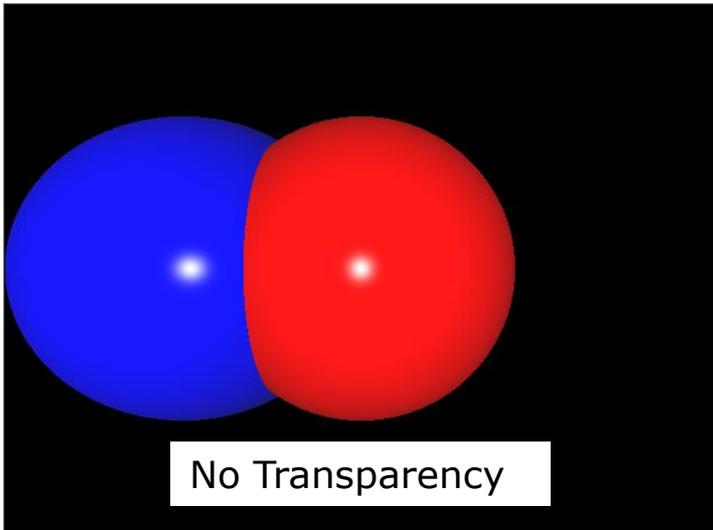
Ref = Reflected Color \* Specular

Tra = Transparent Color \* Transparent

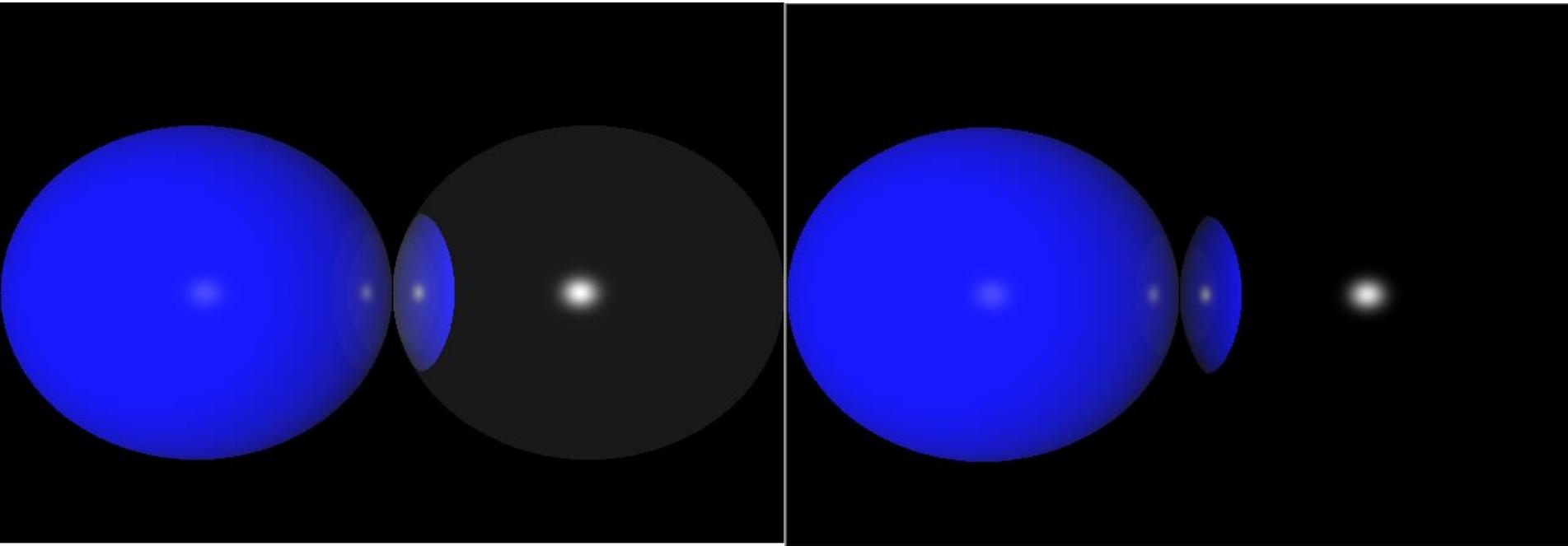
→ Final Ret = ret+ Ref + Tra



# Various Types of Transparency



# Mirror with No Transparency



It is Not a Perfect Mirror

It is a Perfect Mirror